

OECD's work on AI

From Principles to practice

NIC.br webinar “Public Statistics on AI”
Keynote

Luis Aranda
Digital Economy Policy Division
September 2020

- OECD AI Principles
- Implementation efforts
 - OECD AI Policy Observatory (OECD.AI)
 - OECD Network of Experts on AI (ONE AI)
 - The AI Work
- COVID-19 resources

- G7 ICT Ministerial meeting in Japan, April 2016
- AI Foresight Forum, 2016
- Conference ‘*AI: Intelligent Machines, Smart Policies.*’ 2017
- 2018 mission: scope principles to foster trust in and adoption of AI



Facilitate innovation & trust	<i>Enable trust in and adoption of AI</i>
Specific / key to AI	<i>Reflect the specific characteristics of, or key issue related to, AI</i>
Implementable	<i>In policies and practices</i>
Flexible	<i>Stand the test of time</i>
Foster co-operation	<i>Multi-stakeholder, multi-disciplinary, international</i>

September 2018 – February 2019

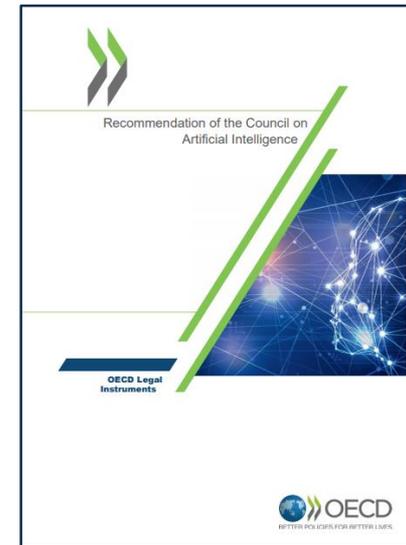
Mission: to scope principles to foster trust in and adoption of AI

Composition: multi-stakeholder and multi-disciplinary: 50+ experts

- Governments, academics, IOs, businesses, technical community, labour unions, civil society...
- 4 meetings in 5 months



- Goal: foster policy ecosystem for trustworthy AI that benefits people and planet.
- Inter-governmental standard. Adopted May 2019 by 37 OECD + 7 partner countries. Same as G20 AI Principles approved June 2019.
- Non-binding yet strong political commitment to implement & OECD monitoring.



10 Principles, covering two areas:

Principles for responsible stewardship of trustworthy AI

- 1.1. Inclusive growth, sustainable development and well-being
- 1.2. Human-centred values and fairness
- 1.3. Transparency and explainability
- 1.4. Robustness, security and safety
- 1.5. Accountability

National policies & international cooperation for trustworthy AI

- 2.1. Investing in AI research and development
- 2.2. Fostering a digital ecosystem for AI
- 2.3. Providing an enabling policy environment for AI
- 2.4. Building human capacity and preparing for labour transition
- 2.5. International cooperation



1 *Inclusive growth, sustainable development and well-being*

AI should benefit people and the planet by driving **inclusive growth, sustainable development and well-being.**



2

Human-centred values and fairness

AI systems should be designed in a way that respects the rule of law, human rights, democratic **values and diversity, and include appropriate safeguards to ensure a **fair** and just society.**

3

Transparency and explainability

There must be **transparency** and responsible disclosure around AI systems to ensure that people **understand** AI-based outcomes and can challenge them.



4

Robustness, security and safety

AI systems must function in a **robust, secure and safe way throughout their lifecycle and potential risks should be continually assessed and managed.**



Accountability

AI actors should be **accountable for the proper functioning of AI systems in line with the above principles.**

The OECD recommends that governments...

- Facilitate public and private investment in **research & development** to spur innovation in trustworthy AI.
- Foster accessible **AI ecosystems** with digital infrastructure and technologies and mechanisms to share data and knowledge.
- Ensure a **policy environment** that will open the way to deployment of trustworthy AI systems.
- Empower people with the **skills** for AI and support workers for a fair transition.
- **Co-operate across borders** and sectors to progress on responsible stewardship of trustworthy AI.



3 reasons you should pay attention to the OECD AI principles

BY JESSICA CUSSINS NEWMAN, OPINION CONTRIBUTOR — 05/28/19 09:30 AM EDT
THE VIEWS EXPRESSED BY CONTRIBUTORS ARE THEIR OWN AND NOT THE VIEW OF THE HILL

2,333 SHARES



Just In...

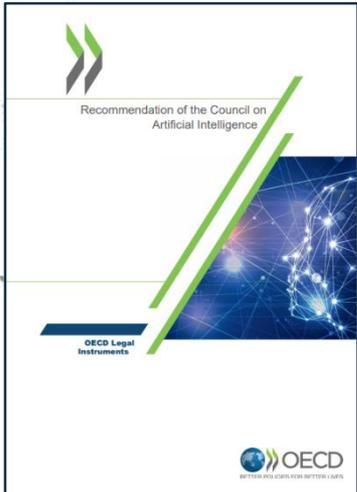
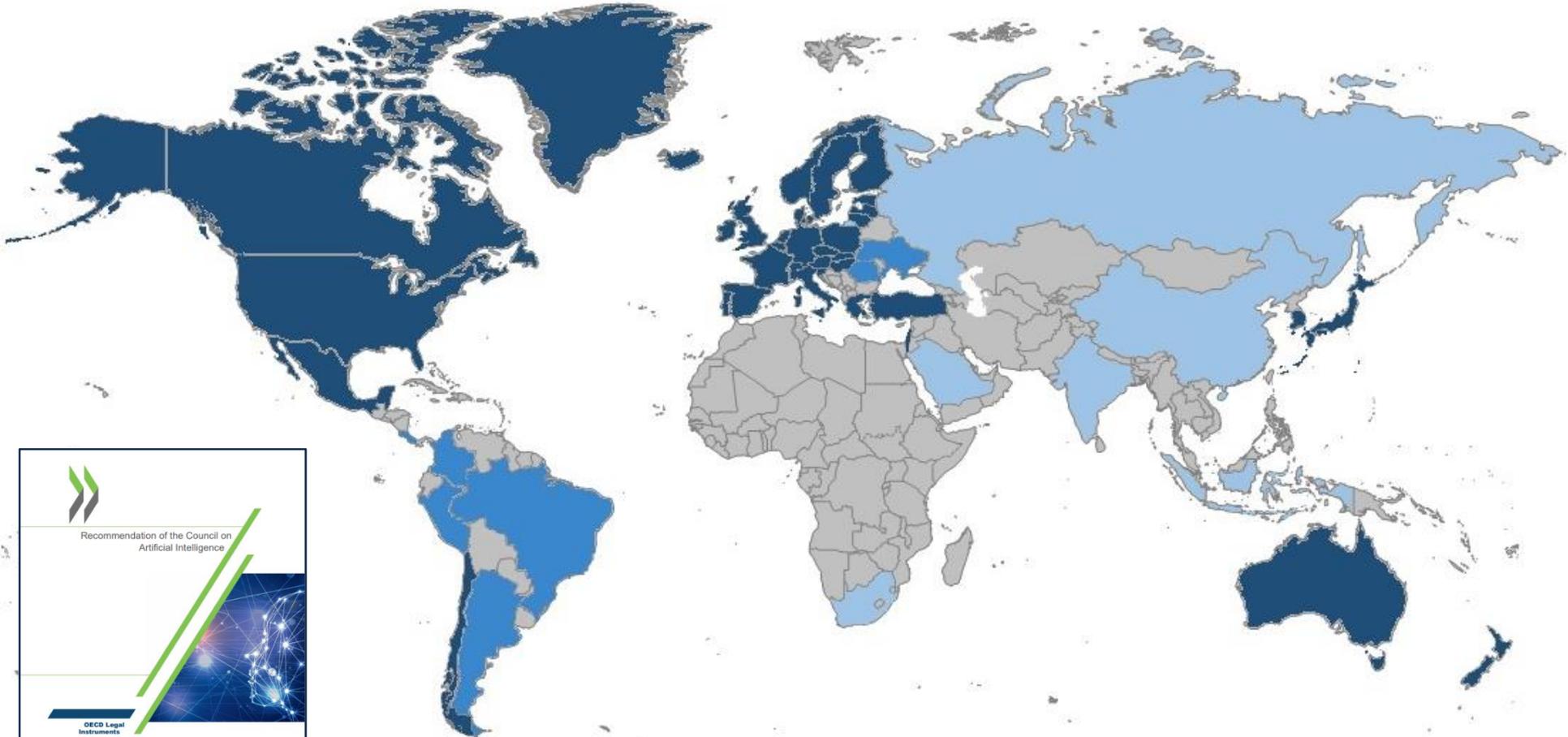
It may be tempting to skip over last week's launch of the Organisation for Economic Co-operation and Development (OECD) artificial intelligence (AI) recommendation as yet another set of non-binding AI principles with little real-world impact, but this would be a mistake.

As the world's first intergovernmental policy guidelines for AI, developed by more than 50 international and multidisciplinary experts, and already adopted by more than 40 countries, the OECD principles in fact represent a new core, or "global reference point," of AI governance.

This is significant for several reasons.

Governments that have adhered to the OECD or G20 AI principles

 OECD members  Adherents  G20 principles, based on OECD



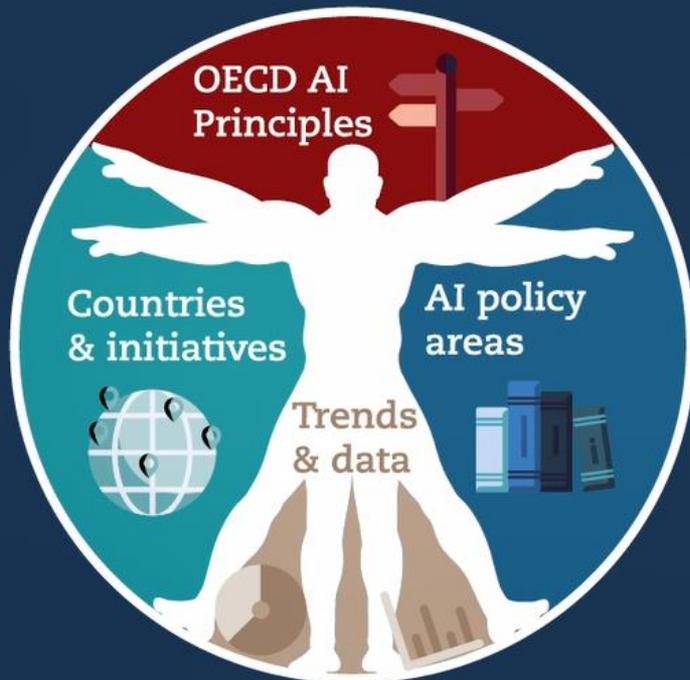
From Principles to Practice



From Principles to Practice

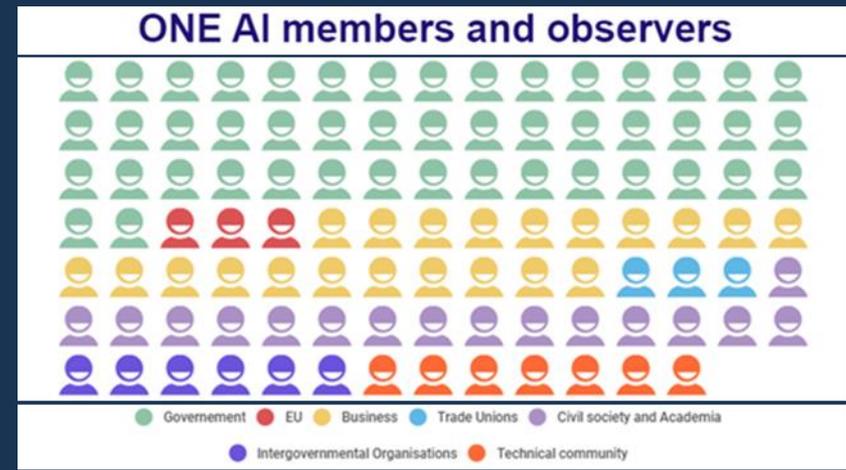
AI Policy Observatory (OECD.AI)

A platform to share & shape public policies for responsible, trustworthy & beneficial AI



OECD Network of Experts on AI (ONE AI)

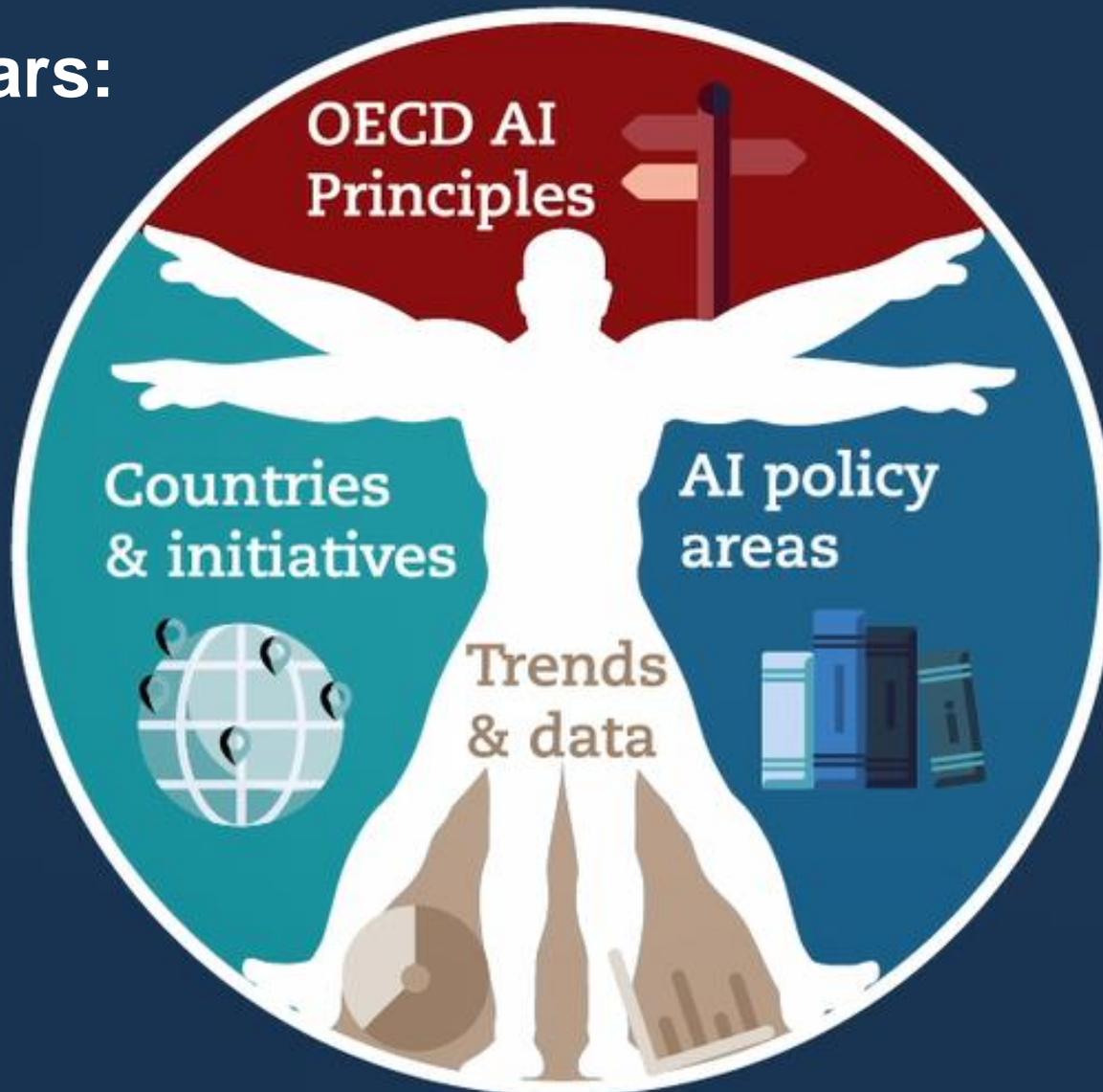
A multi-stakeholder group of experts is helping the OECD CDEP develop practical implementation guidance.



OECD.AI

Shape and share public policies for responsible, trustworthy and beneficial AI

4 pillars:



OECD AI Principles overview

The OECD AI Principles promote use of AI that is innovative and trustworthy and that respects human rights and democratic values. Adopted in May 2019, they set standards for AI that are practical and flexible enough to stand the test of time.

Values-based principles



Inclusive growth, sustainable development and well-being >



Human-centred values and fairness >



Transparency and explainability >



Robustness, security and safety >



Accountability >

Recommendations for policy makers



Investing in AI research and development >



Fostering a digital ecosystem for AI >



Shaping an enabling policy environment for AI >



Building human capacity and preparing for labour market transformation >



International co-operation for trustworthy AI >

The OECD AI Principles focus on how governments and other actors can shape a human-centric approach to trustworthy AI. As an OECD legal instrument, the principles represent a common aspiration for its adhering countries.



>> [Official text](#)

Governments that have committed to the AI Principles



[Enlarge](#)

[Home](#) > [Policy areas overview](#)

Policy areas overview

AI is a new general-purpose technology that will impact every field of public policy. Here is the latest AI policy research taking place in different policy communities across the OECD and beyond.

 Agriculture >	 Employment >	 Public governance >
 Competition >	 Environment >	 Science and technology >
 Corporate governance >	 Finance and insurance >	 Social and welfare issues >
 Development >	 Health >	 Tax >
 Digital economy >	 Industry and entrepreneurship >	 Trade >
 Economy >	 Innovation >	 Transport >
 Education >	 Investment >	



[OECD.AI programme on Work, Innovation, Productivity and Skills](#)

Home > Data from partners

Live data from partners

This section leverages live data from partners to show timely trends about where, how and at what rate AI is being developed and used, and in which sectors.

- AI news
- AI research
- AI jobs and skills

Country: All countries Speed: slow normal fast NewsType: articles Reading mode Show English only Paused



- eWEEK
How to Innovate Securely with Emerging IT
Fri Oct 02 2020, 14:50
- SankeiBiz サンケイビズ
大阪大学が新型コロナウイルス感染症等に関するSNSによるこころの相談の充...
Fri Oct 02 2020, 14:53
- Računalniške novice
Spoznajte edini mesti na svetu, ki svoje algoritme odpirata ljudem
Fri Oct 02 2020, 15:07
- MIT Technology Review
A VR film/game with AI characters can be different every time you...
Fri Oct 02 2020, 15:10
- @palestinechron
Why is the World Going to Hell? Netflix's The Social Dilemma Tells...
Fri Oct 02 2020, 15:12
- TechRepublic
MIT Report: How AI changes the

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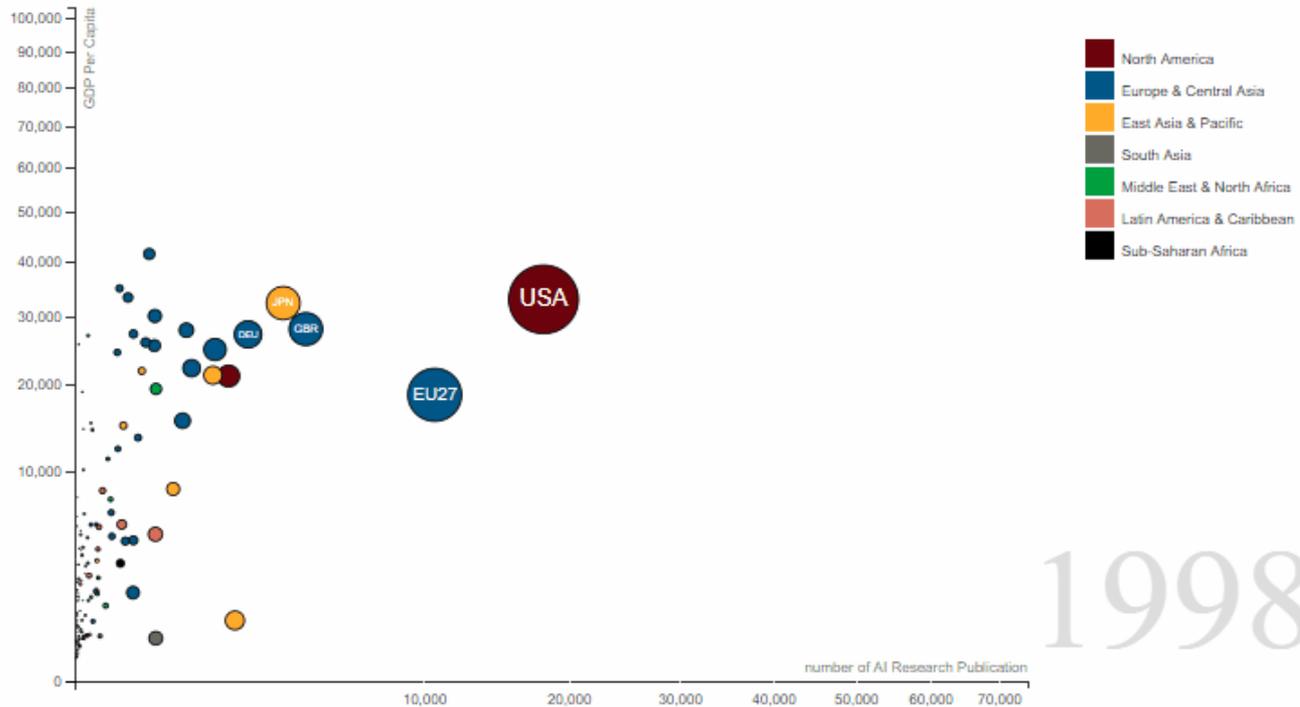
AI news

AI research

AI jobs and skills

Year: Resume Publications Per Capita Quality Rankings:

Publication Type: Advanced options  



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AI news

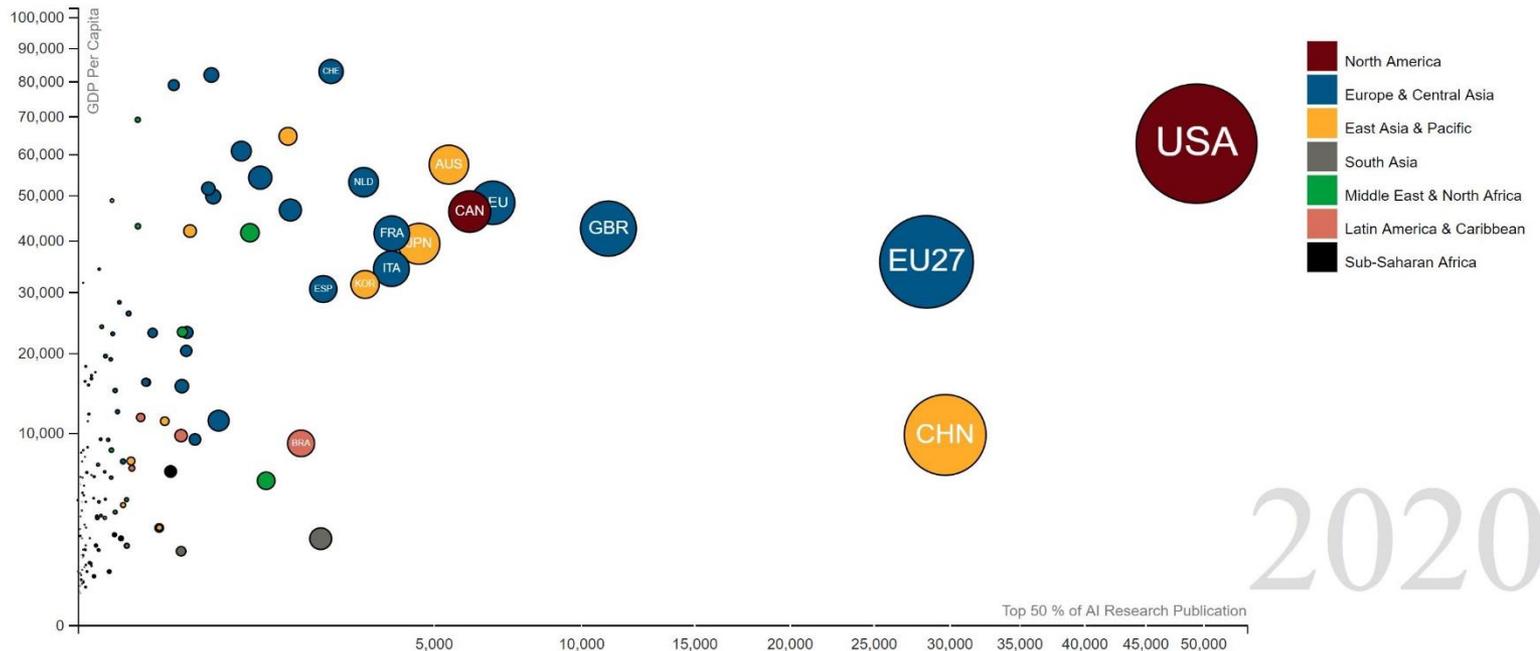
AI research

AI jobs and skills

Year: 1980 1990 2000 2010 2020 Resume Publications Per Capita Cumulative Estimated 2020 value

Y-axis: GDP Per Capita Quality Rankings: Top 50% Publication Type: Research Publications Download

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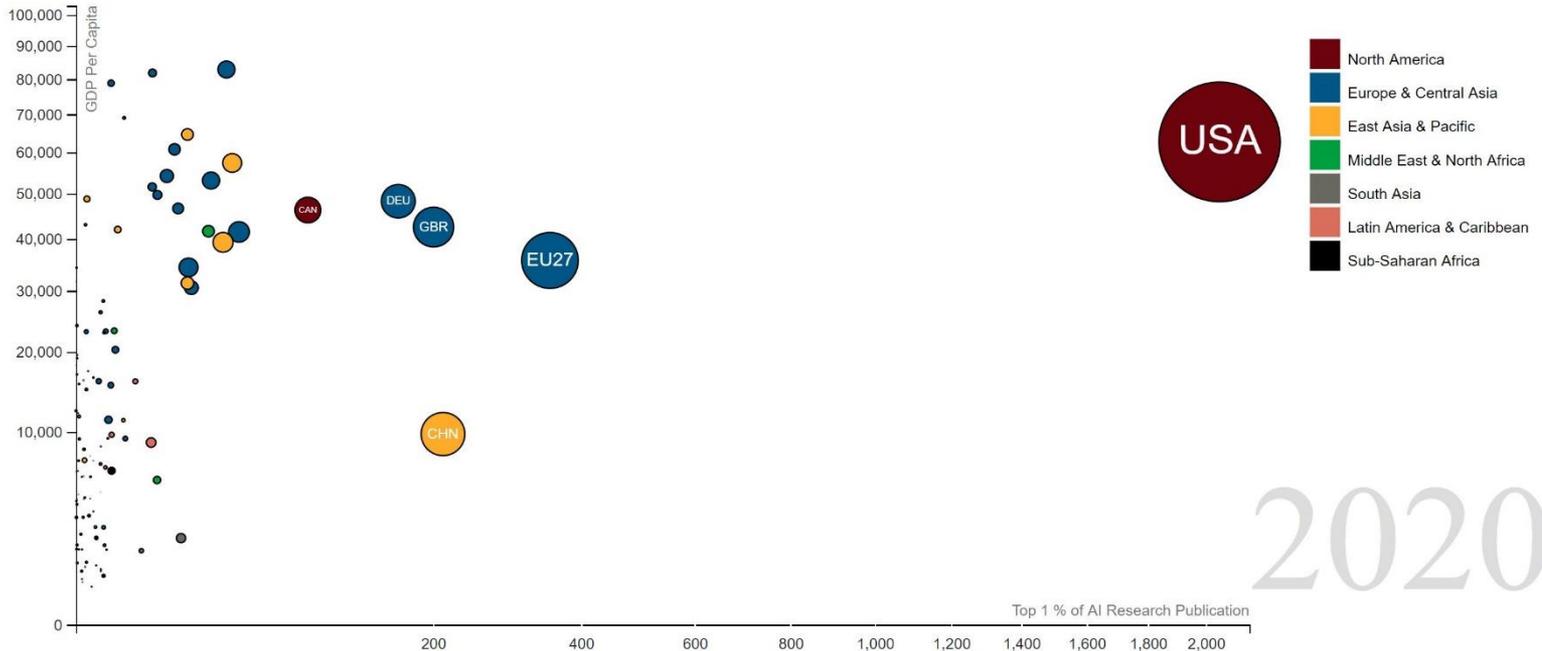
AI research

AI jobs and skills

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Y-axis: GDP Per Capita Quality Rankings: Top 1% Publication Type: Research Publications Download

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AI news

AI research

AI jobs and skills

Year:

1980 1990 2000 2010 2020

Resume

Publications Per Capita

Cumulative

Estimated 2020 value

Y-axis:

GDP Per Capita

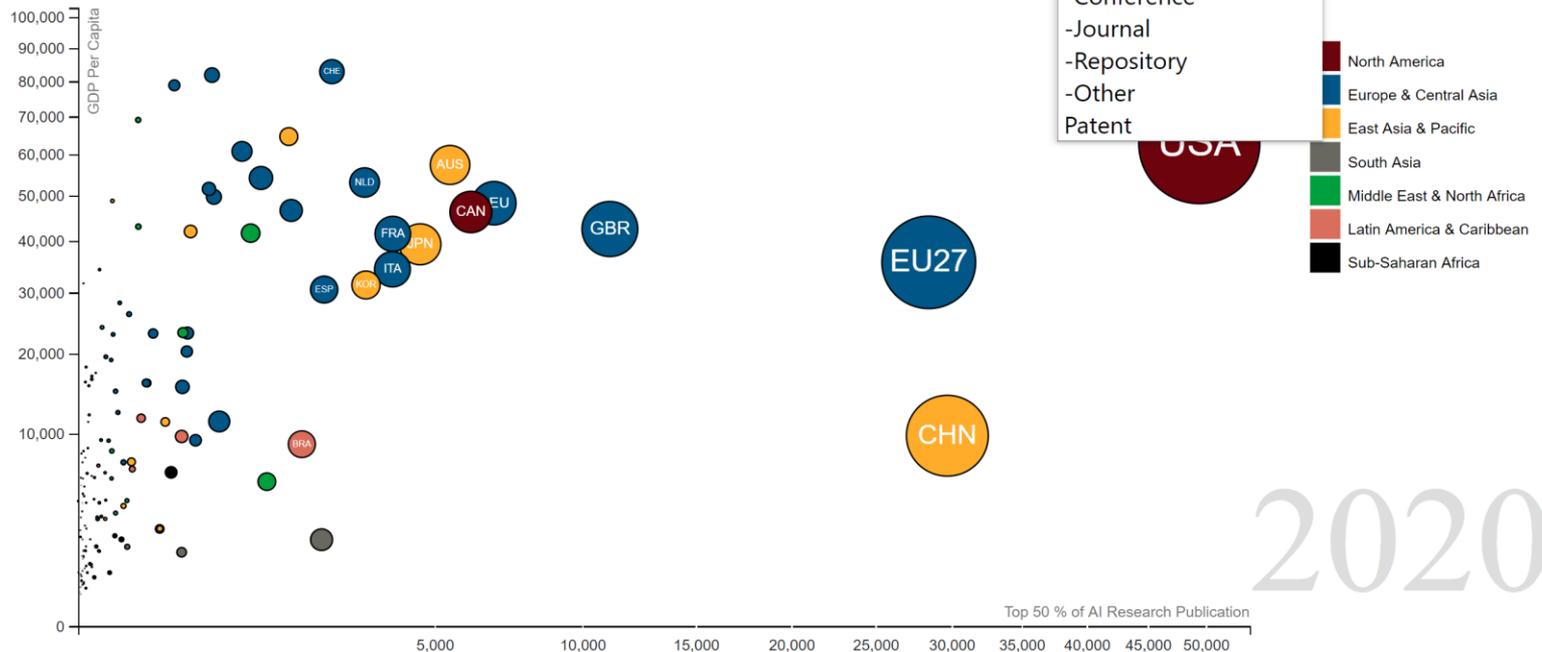
Quality Rankings: Top 50% ▾

Publication Type:

- Research Publications ▾
- Research Publications**
- Book
- BookChapter
- Conference
- Journal
- Repository
- Other
- Patent

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Publications Per Capita

Cumulative

Estimated 2020 value

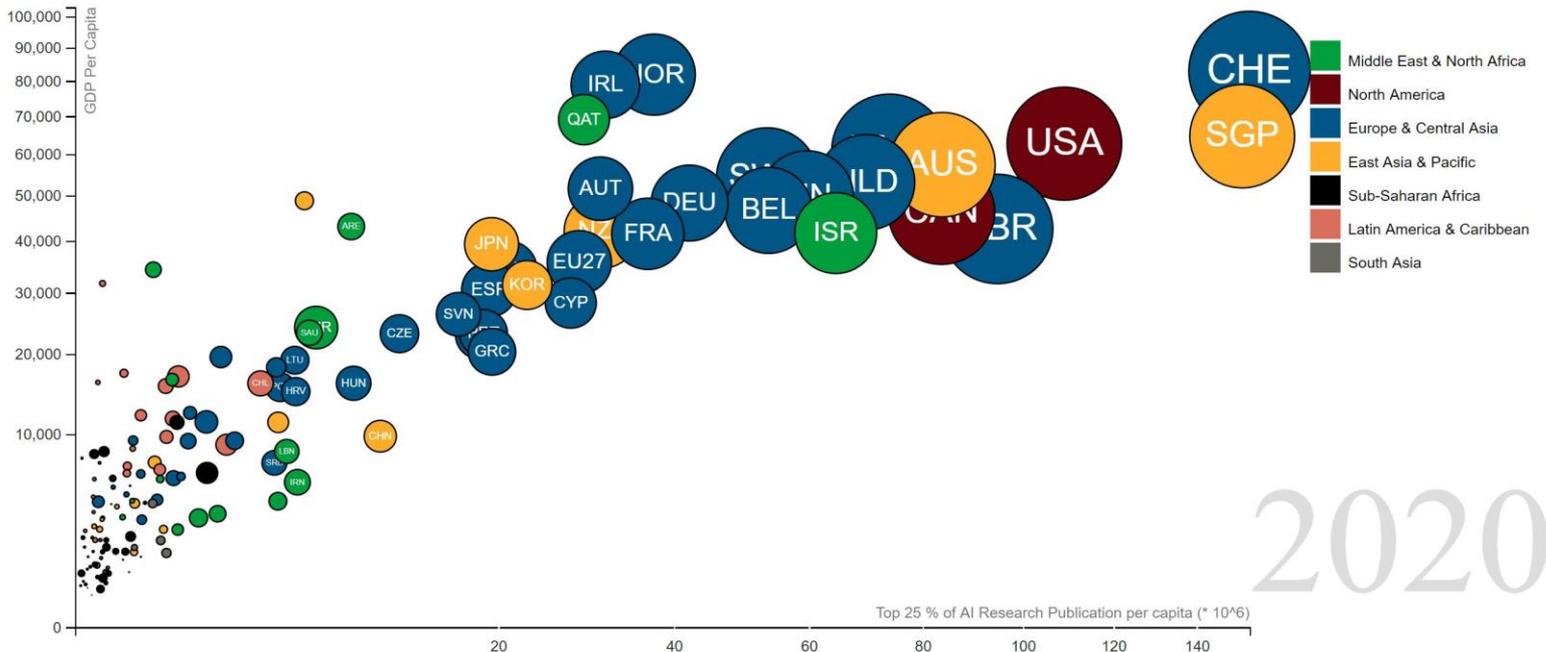
Y-axis: GDP Per Capita

Quality Rankings: Top 25%

Publication Type: Research Publications

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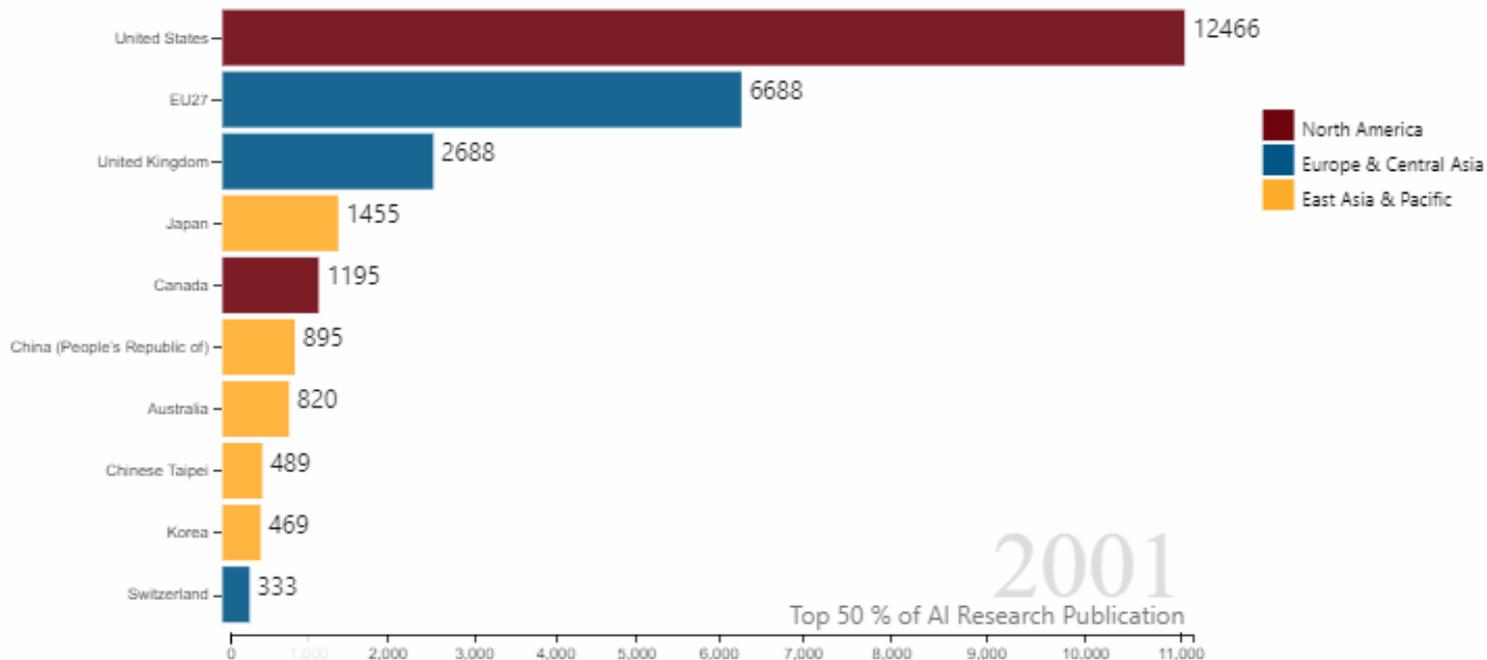
AI news

AI research

AI jobs and skills

Cumulative
 Estimated 2020 value
 Quality Rankings: [Top 50%](#) ▾
 Group [EU27](#)

Scale: absolute number logarithmic scale
 Publication Type: [Research Publications](#) ▾



Note: The 'cumulative' option displays aggregate results since 1980. Please see [methodological note](#) for more information.

Live data from partners

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AI news

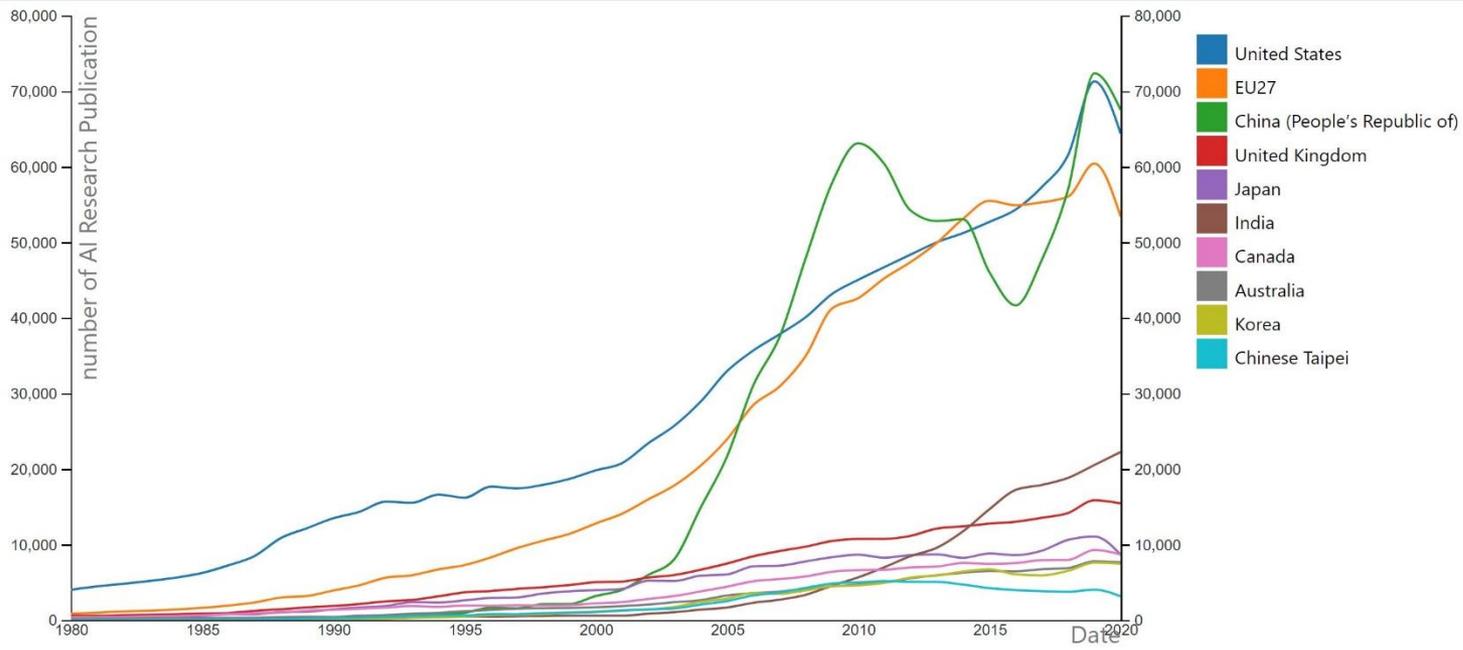
AI research

AI jobs and skills

Year: 1980 -> 2020 Cumulative Estimated 2020 value Quality Rankings: Include All

Publication Type: Research Publications Scale: absolute number logarithmic scale growth ratio Add Country

Group EU27 Clear All Download Download As Image



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AI news

AI research

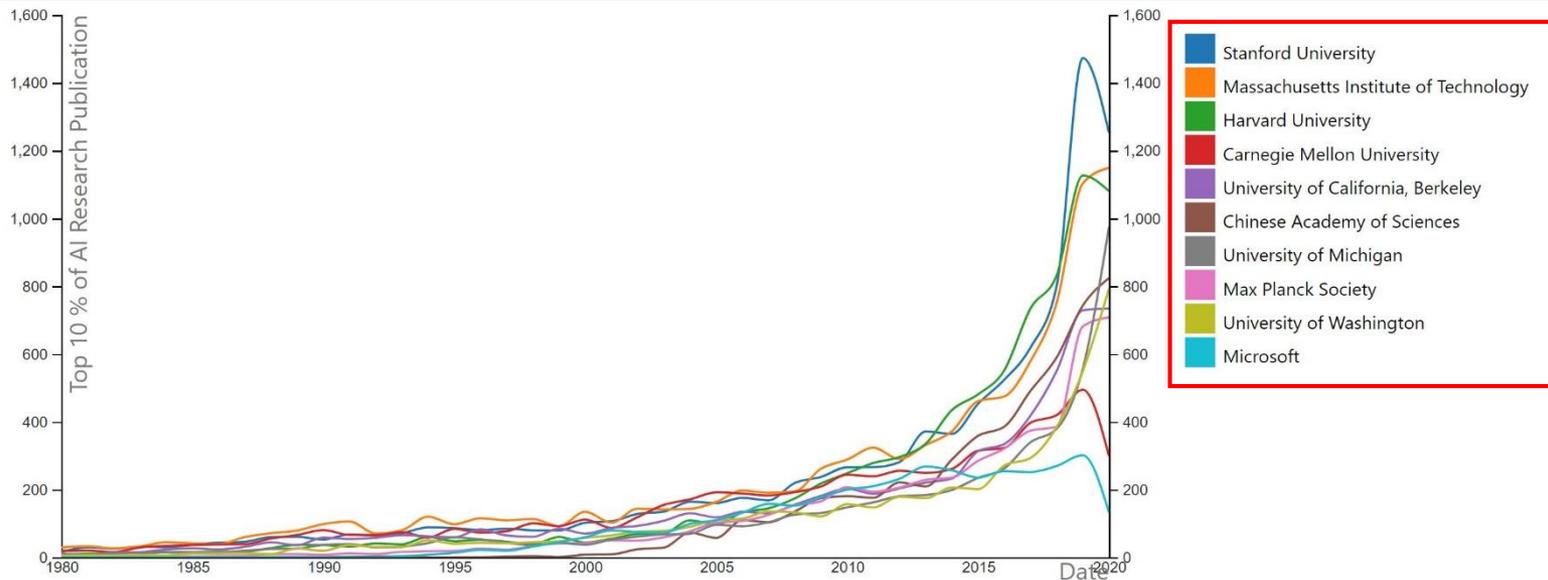
AI jobs and skills

Year:  1980->2020 Cumulative Estimated 2020 value Quality Rankings: Top 10% ▾

Publication Type: Research Publications ▾ Institution Type: All ▾

Scale: absolute number logarithmic scale growth ratio Add Institution

Country: All countries ▾



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Live data from partners

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AI news

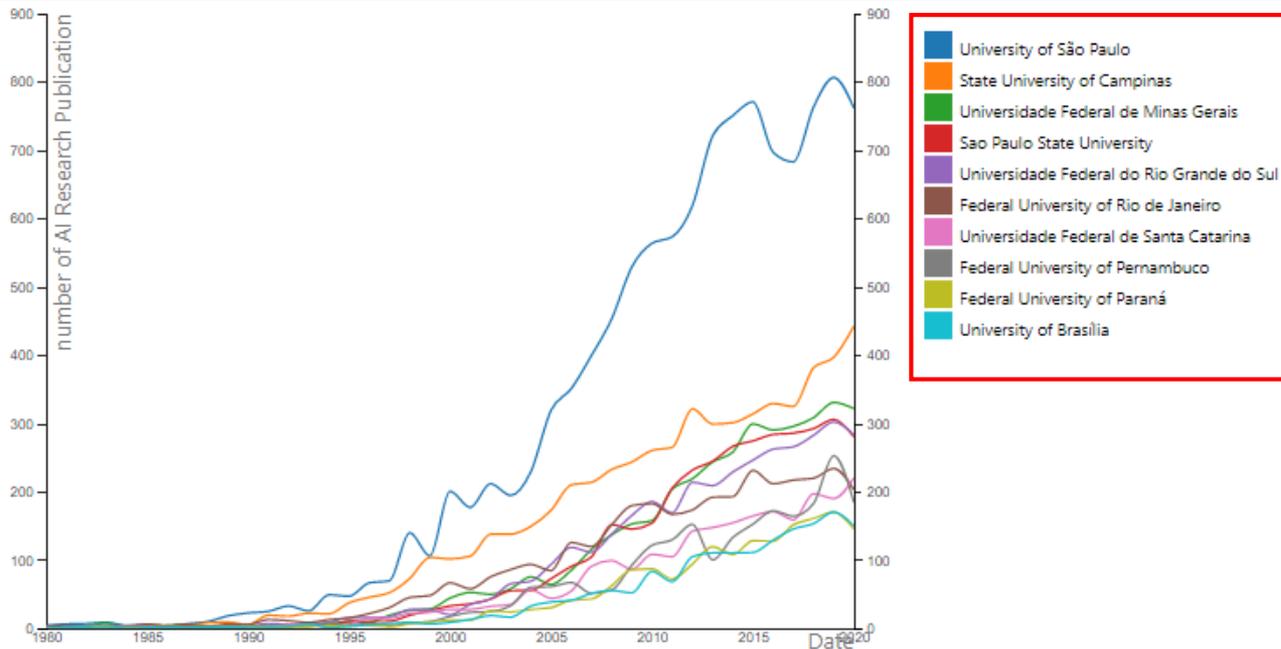
AI research

AI jobs and skills

Year:  1980->2020 Quality Rankings:

Publication Type: Institution Type: Scale:

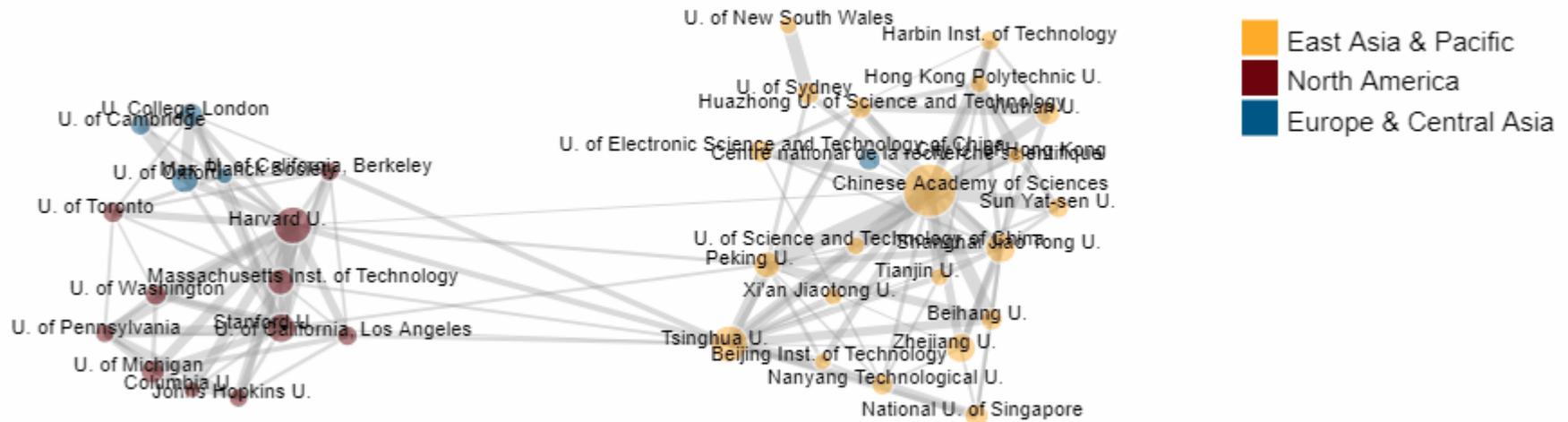
Country:  



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[AI news](#)[AI research](#)[AI jobs and skills](#)

Live data from partners

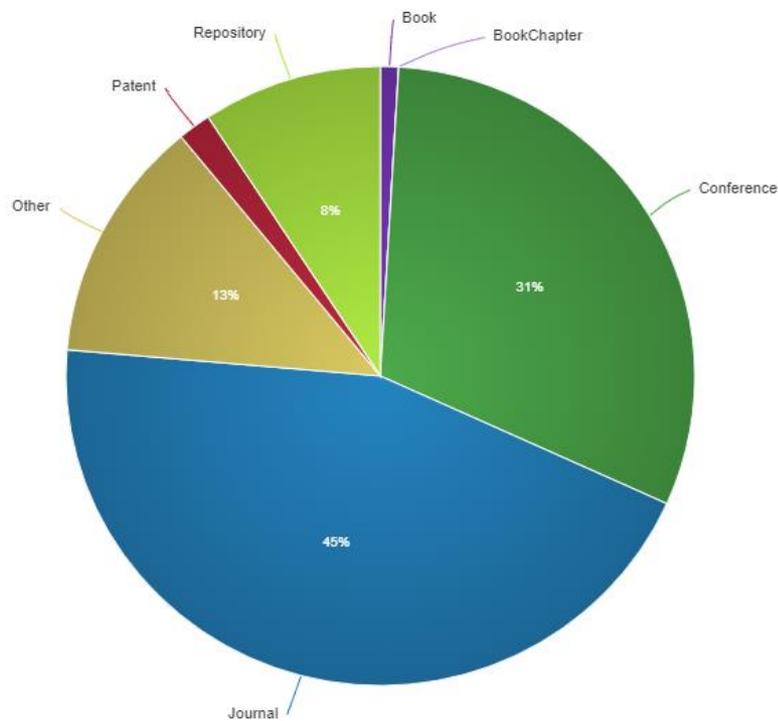
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AI news

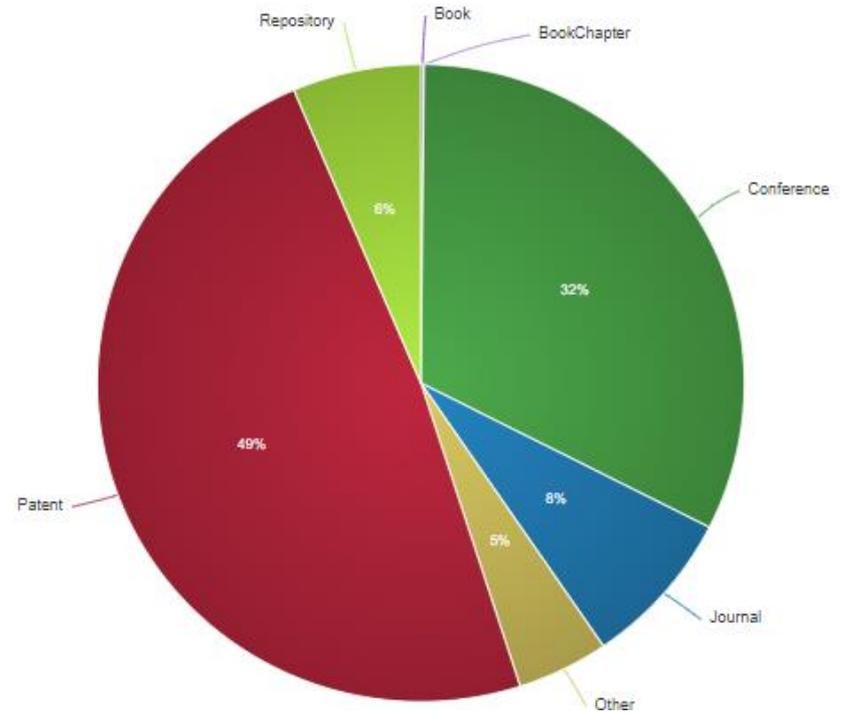
AI research

AI jobs and skills

Stanford University



Microsoft



Live data from partners

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AI news

AI research

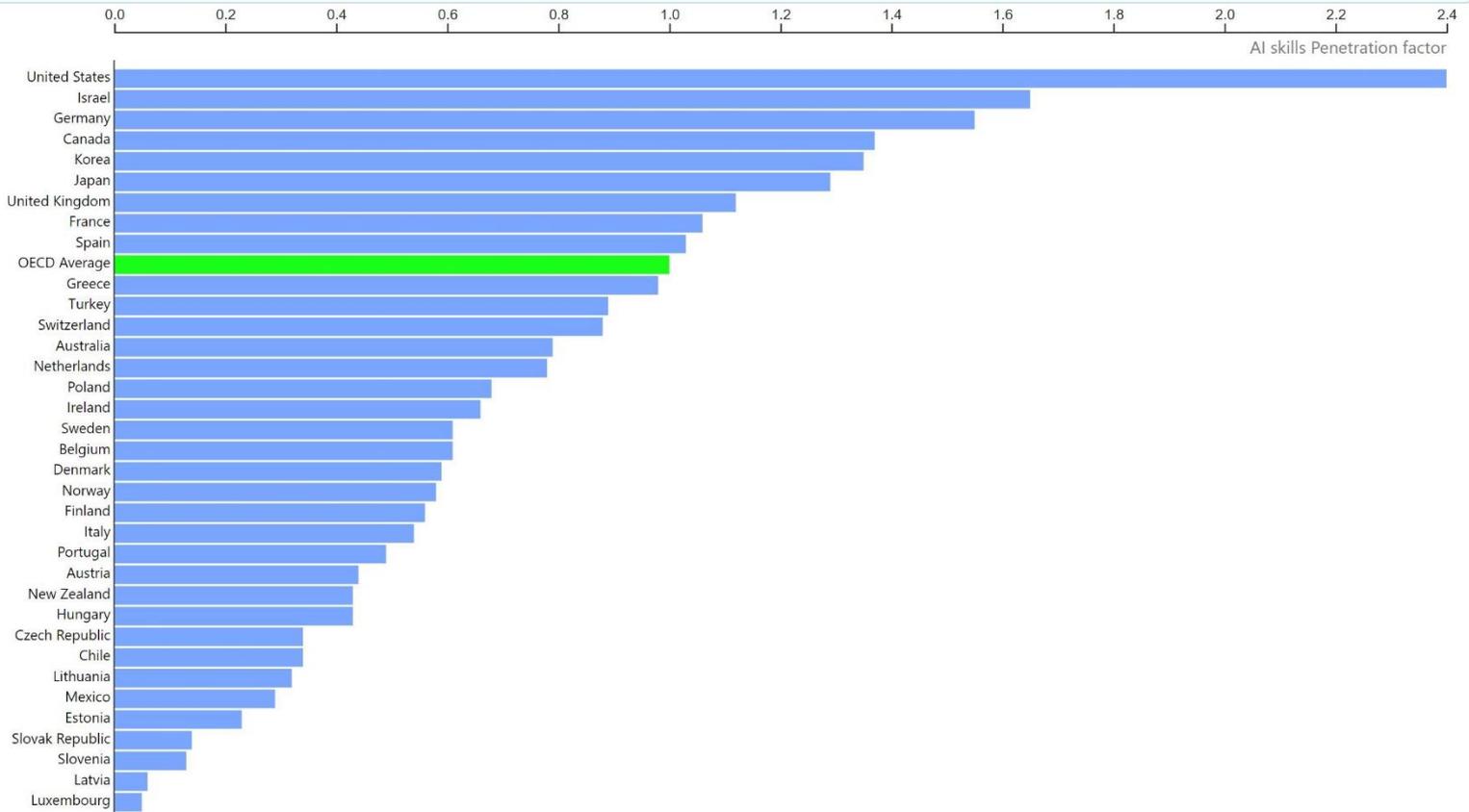
AI jobs and skills

AI skills penetration

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Measurement: OECD Average G20 Average



Live data from partners

This section leverages live data from partners to show timely trends about where, how and at what rate AI is being developed and used, and in which sectors.

AI news

AI research

AI jobs and skills

AI skills migration

Year:



2015 2016 2017 2018 2019

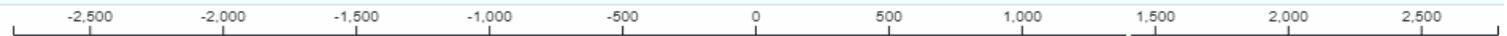
Resume

items:

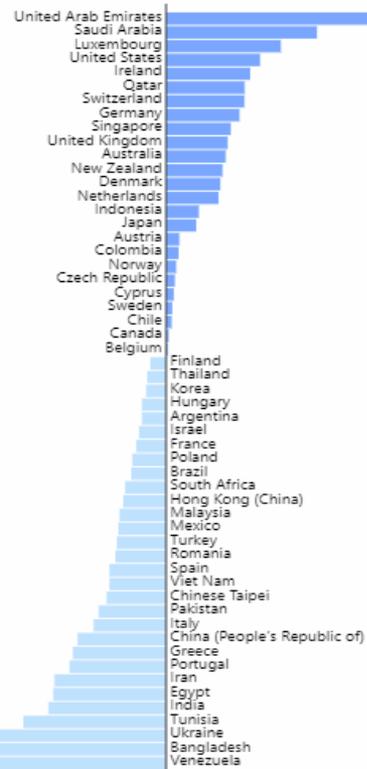
All ▾

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AI skills migration (per 10 000 LinkedIn members)



Live data from partners

This section leverages live data from partners to show timely trends about where, how and at what rate AI is being developed and used, and in which sectors.

[AI news](#)[AI research](#)[AI jobs and](#)[Cross-Country AI Skills Penetration](#)[Between-country AI skills migration](#)[Cross-Cou](#)

OECD.AI data from partners: methodological note

**OECD.AI**
Policy Observatory

Last updated on: 8/06/2020

OECD Average ● G20 Average ●

1.4 1.6 1.8 2.0 2.2

AI skills Penetration factor



United

OECD

N

S

Ne

Czec

Slova

Estonia

Luxembourg

0.7

National AI policies & strategies

This section provides a live repository of over 300 AI policy initiatives from 60 countries, territories and the EU. Click on a country / territory, a policy instrument or a group targeted by the policy.

[Countries & territories](#)[Policy instruments](#)[Target Groups](#)

Choose visualization

By initiative count ▾

[European Union \(22\)](#)**60**

Countries, territories and the EU

300+

National AI policies, initiatives and instruments

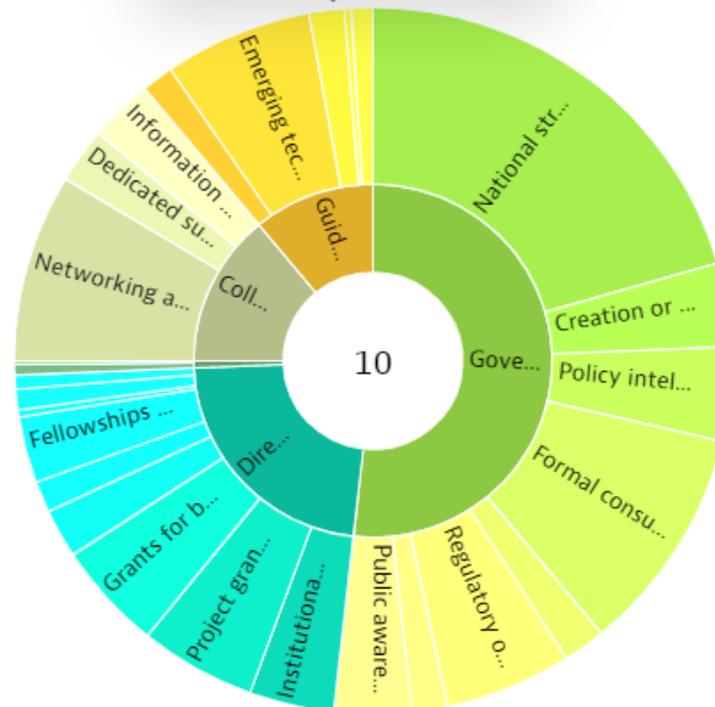
National AI policies & strategies

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Policy instruments

Target Groups

**Labour mobility
regulation and
incentives**
Initiatives : 10



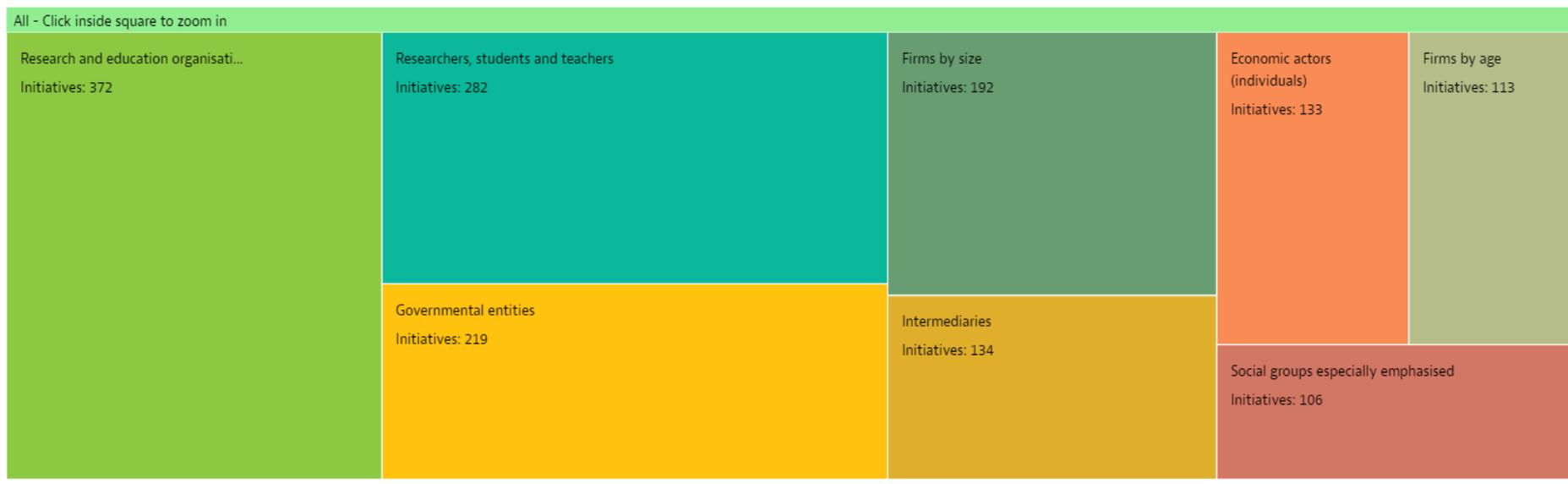
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- Countries & territories
- Policy instruments
- Target Groups**

Choose visualization

By initiative count ▾





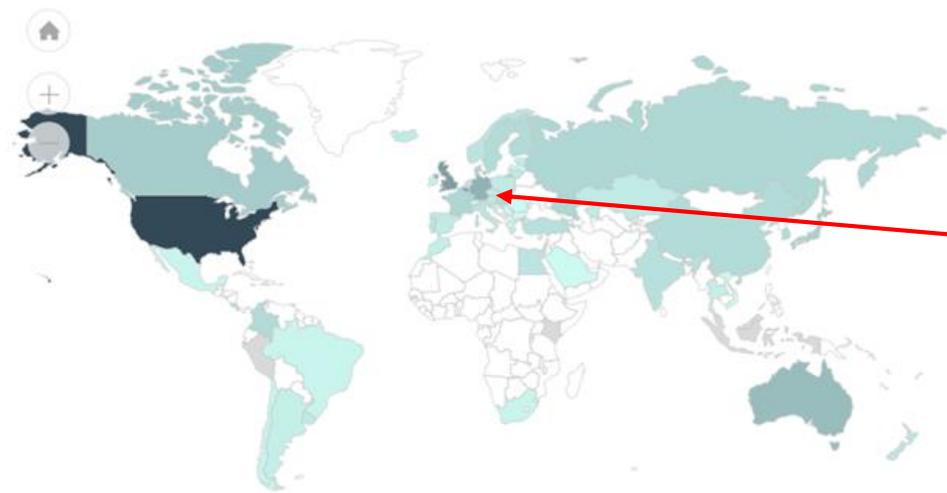
Home > Countries & Initiatives > National strategies & policies

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- Countries & territories
- Policy instruments
- Target Groups

Choose visualization



Select a country on the map / flags

AI in Germany

List of AI initiatives in Germany

[See all 15 initiatives](#)

Download AI policy initiatives

[XML](#)
[CSV](#)

Please cite as: [OECD.AI](#) (2019), powered by EC/OECD (2019), STIP Compass database, accessed on 21/02/2020, <http://oecd.ai>.

Partner data on Germany

- [Live AI news \(EventRegistry\)](#)
- [Collaborations](#)
- [Top AI research Institutions](#)
- [AI scientific publications](#)
- [Types of scientific publications](#)

EC information on Germany

- [Country summary](#)

Policy instruments by category



Related online news from EventRegistry

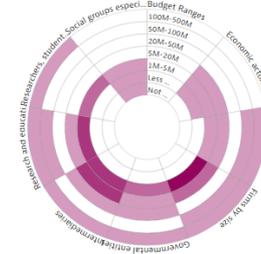
Reading mode	Paused
firmenpresse	
US-Regierung setzt auf Technologie dieses Coronavirus COVID-19 Hot Stock. Massives Kaufsignal. Weite Mon Apr 06 2020, 09:44	
mittelstandcafe	
Datametrex arbeitet bei Kampf gegen Fake News und Desinformation hinsichtlich Covid-19 und Coronavirus... Mon Apr 06 2020, 09:48	
mittelstandcafe	
US-Regierung setzt auf Technologie dieses Coronavirus COVID-19 Hot Stock. Massives Kaufsignal. Weiter... Mon Apr 06 2020, 09:49	
firmenpresse	
Gemeinsames Angebot von Datametrex mit LOTTE 48 #PAC The Coronavirus hat ein tolles Kennzeichen	

Note: Please see [methodological note](#) for more information.

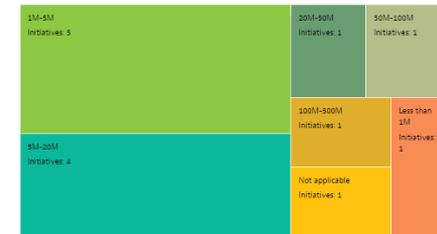
Source of data: EventRegistry.org

Please cite as: OECD.AI(2020), visualisations powered by AI using data from Event Registry, accessed on 10/4/2020, www.oecd.ai

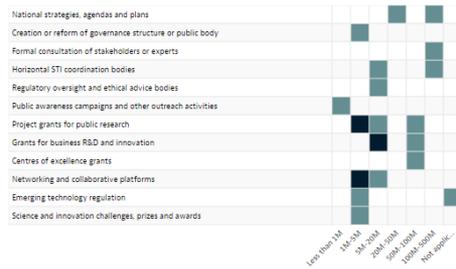
Groups targeted by the policy initiatives



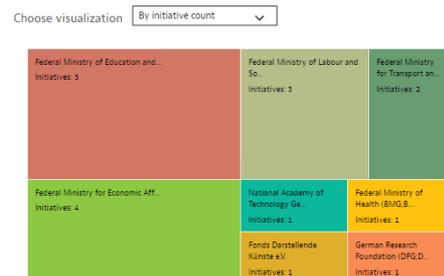
Budget ranges



Policy instruments by number & budget



Responsible organisations



Related OECD publications

 Choose visualization

5 results

- 2020 - Adaptive Trees: a new approach to economic forecasting
- 2019 - Artificial Intelligence in Society: AI policies and initiatives
- 2019 - Hello, World: Artificial intelligence and its use in the public sector
- 2019 - The digital innovation policy landscape in 2019

Top AI research institutions

- Max Planck Society
6349 publications
- Technische Universität München
1880 publications
- RWTH Aachen University
1048 publications
- Karlsruhe Institute of Technology
922 publications

Aggregate-level visualisations of:

- AI trending search topics
- Venture capital investments
- Financial statements
- AI software development
- AI online education
- Hardware/compute data
- AI job adverts
- AI master's degrees

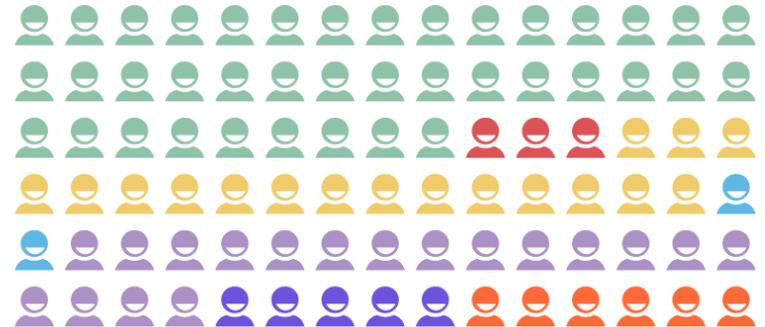
ONE AI

A multi-stakeholder group of experts is helping the OECD CDEP develop practical implementation guidance.

3 Working Groups:

1. The classification of AI systems
2. Implementing trustworthy AI
3. National AI policies

ONE AI members and observers



● Government ● EU ● Business ● Trade Unions ● Civil society and Academia
● Intergovernmental Organisations ● Technical community



OECD AI Principles

ONE AI - WG1
Classifying AI systems

Principles for responsible stewardship of trustworthy AI

- 1.1. Inclusive growth, sustainable development and well-being
- 1.2. Human-centred values and fairness
- 1.3. Transparency and explainability
- 1.4. Robustness, security and safety
- 1.5. Accountability

ONE AI - WG2
'Implementing
trustworthy AI'

National policies and international cooperation for trustworthy AI

- 2.1. Investing in AI research and development
- 2.2. Fostering a digital ecosystem for AI
- 2.3. Providing an enabling policy environment for AI
- 2.4. Building human capacity and preparing for labour transition
- 2.5. International cooperation

ONE AI - WG3
'National AI policies'

Goal: Develop a user-friendly framework to navigate policy implications of different types of AI systems

Approach: Explore AI system attributes via 4 different dimensions to develop the framework:

- **Context / sector** (e.g., transportation, healthcare, hiring/HR)
- **Output / task** that describe how the AI system interacts with the world (e.g., recognition, personalization, event detection etc.)
- **Input / data**
- **AI model / technological methods** that substantively inform other attributes (e.g., robustness, level of automation, explainability).

Co-Moderators:



Marko Grobelnik, AI Researcher & Digital Champion,
AI Lab, Slovenia Jozef Stefan Institute



Dewey Murdick, Director of Data Science, Center for
Security and Emerging Technology (CSET), School of
Foreign Service, Georgetown University



Jack Clark, Policy Director, OpenAI

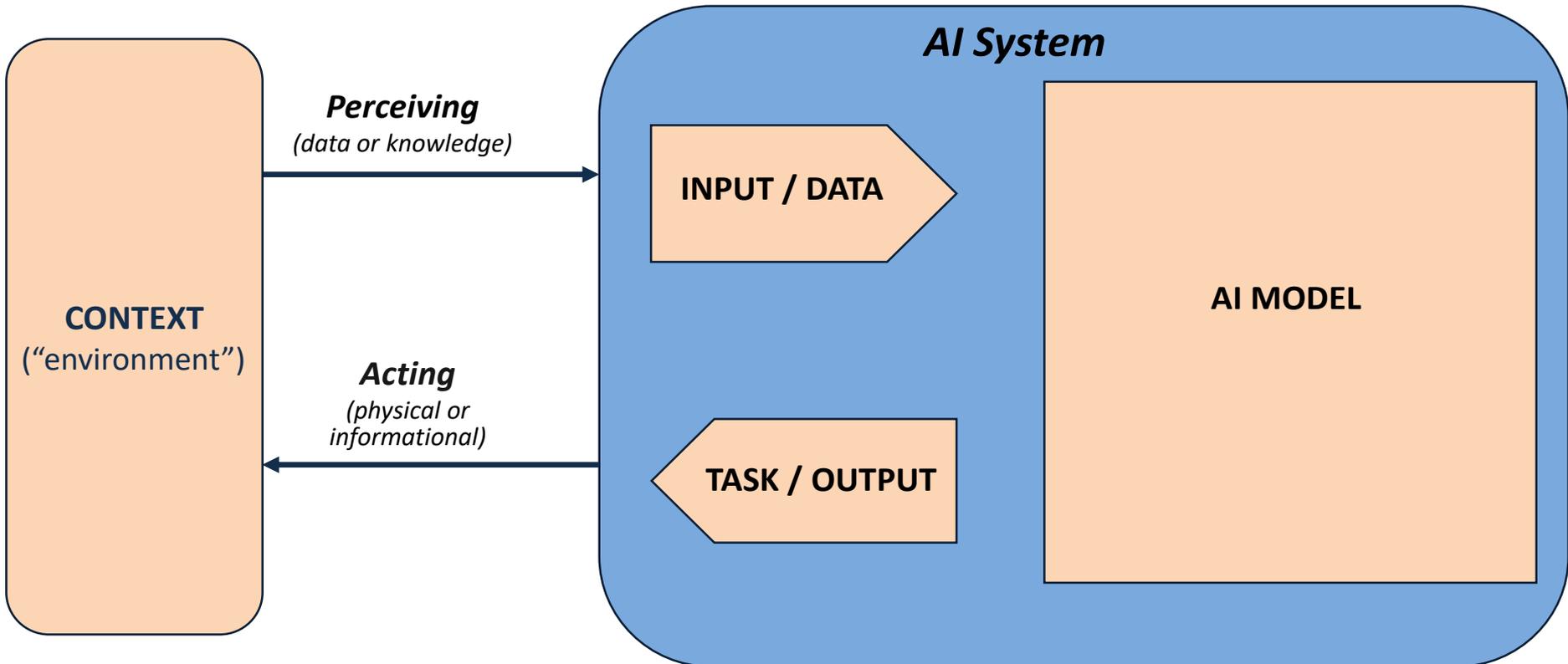
«Comment voulez-vous gouverner un pays où il existe 258 variétés de fromage !»

Charles de Gaulle, 1962

Lots of different cheeses!



Different types of AI systems in different contexts raise different policy considerations



An AI system is a machine-based system that is capable of influencing the environment by making recommendations or predictions for a given set of objectives. It uses inputs/data to: i) perceive environments; ii) abstract these perceptions into models; and iii) interpret the models.

AI systems are designed to operate with varying levels of autonomy.

Goal: Identify practical guidance and shared procedural approaches to help AI actors and decision-makers to implement effective, efficient and fair policies for trustworthy AI.

Approach: a short and practical framework serving as a reference for AI actors in their implementation efforts via analysis of a survey of initiatives – over 70 fairly detailed responses with many of the main initiatives covered:

- Codes of conduct/guidelines; Risk management frameworks; Corporate governance.
- Software tools; Technical research; Technical standard.
- Awareness building; Capacity building.

Co-moderators:



Adam Murray, ONE AI Chair and US delegate to CDEP



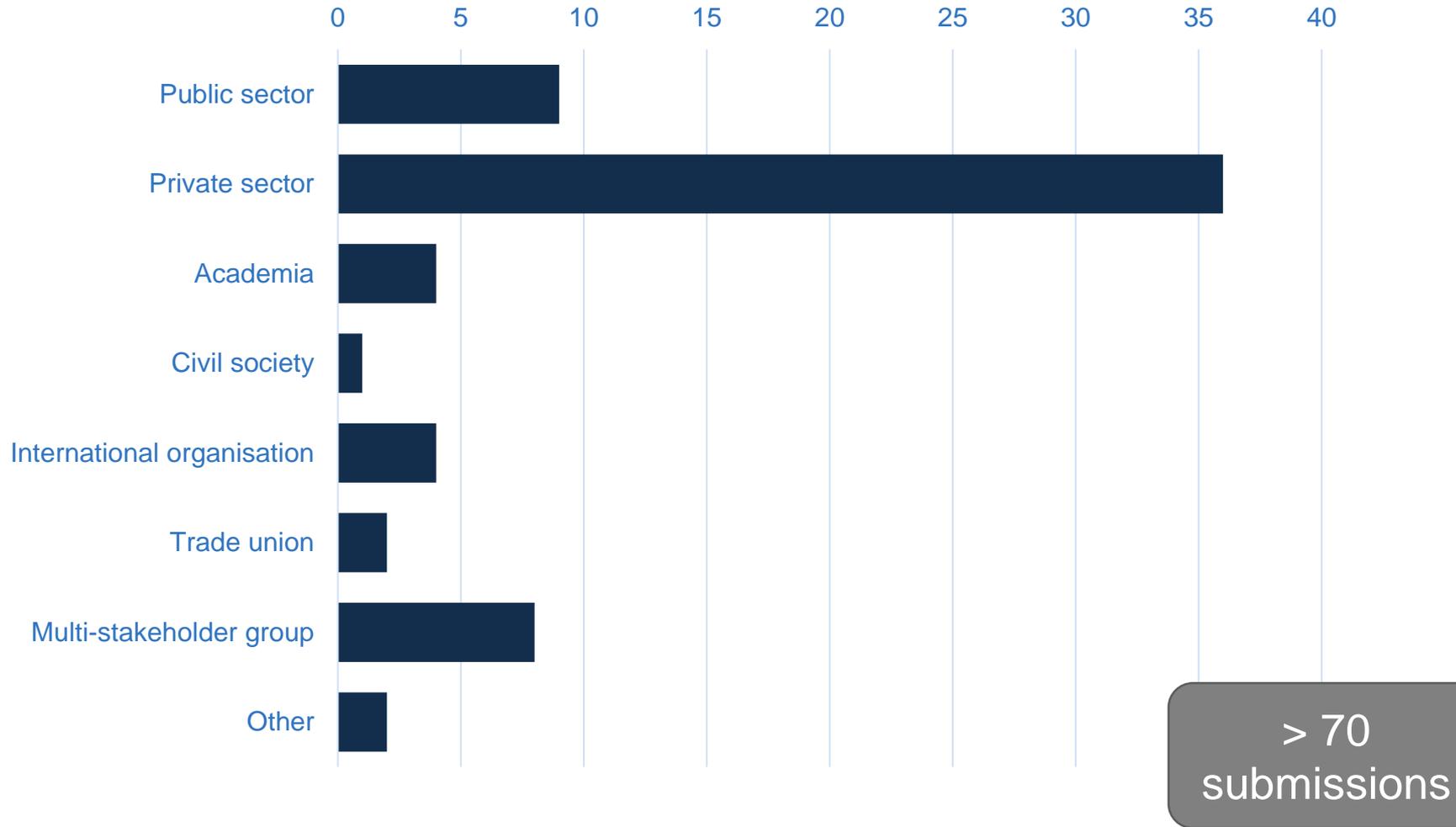
Carolyn Nguyen, Director of Technology Policy, Microsoft



Barry O'Brien, Government and Regulatory Affairs Executive, IBM

<https://oecd.ai/survey>







1

Group similar tools/frameworks

Group 1 (37 use cases)	Group 2 (33 use cases)	Group 3 (21 use cases)
<ul style="list-style-type: none">• Codes of conduct• Risk management frameworks• Corporate governance	<ul style="list-style-type: none">• Software tools• Technical research• Technical tools	<ul style="list-style-type: none">• Capacity building• Awareness building

2

Criteria for analysis

Rank most relevant (by AI Principle)

Find common strengths

Find common weaknesses

Find gaps

Provide ideas for assessment

Assess possible international co-operation

Goal: Identify good practices for implementing the 5 recommendations to policy-makers contained in the AI Principles:

1. Investing in AI R&D
2. Fostering a digital ecosystem for AI
3. Shaping an enabling policy environment for AI
4. Building human capacity and preparing for labour market transformation
5. International co-operation for trustworthy AI

Deliverable: A practical guidance report containing a set of good practices to implement these 5 recommendations to policy makers

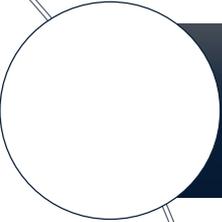
Co-moderators:



Andras Hlacs, Counsellor, Permanent Delegation of Hungary to OECD

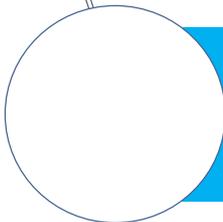


Mike Selitto, Deputy Director, Stanford Institute for Human-Centered AI (HAI)

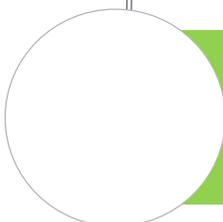


A. Policy design

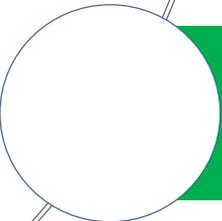
governance, processes used to formulate national AI strategies and policies



B. Policy implementation of the 5 recommendations

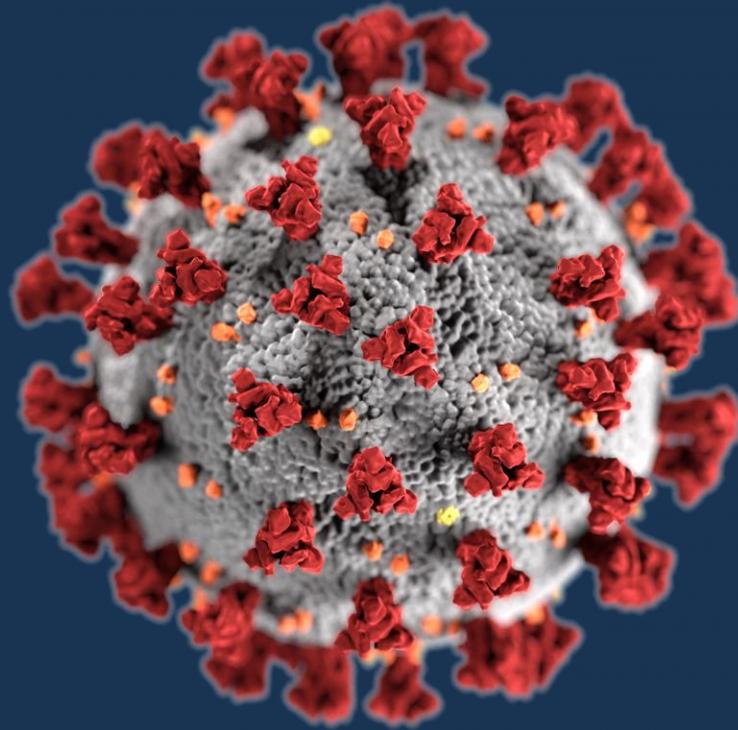


C. Policy Intelligence to monitor implementation



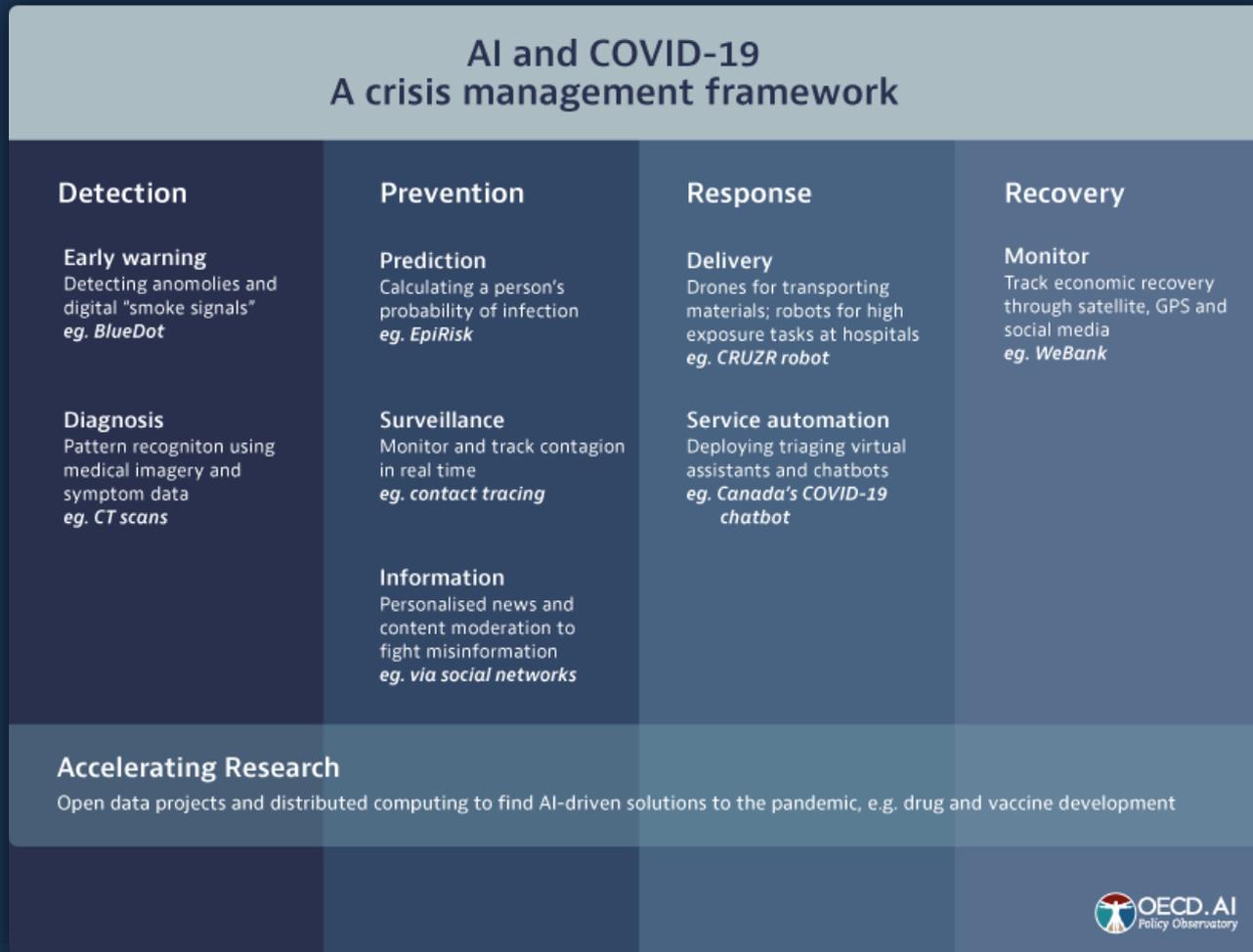
D. International multi-stakeholder co-operation good practices by other IGOs

COVID-19 Resources



COVID-19 Resources

“Using artificial intelligence to help combat COVID-19”
Policy brief



English

Portuguese

Spanish

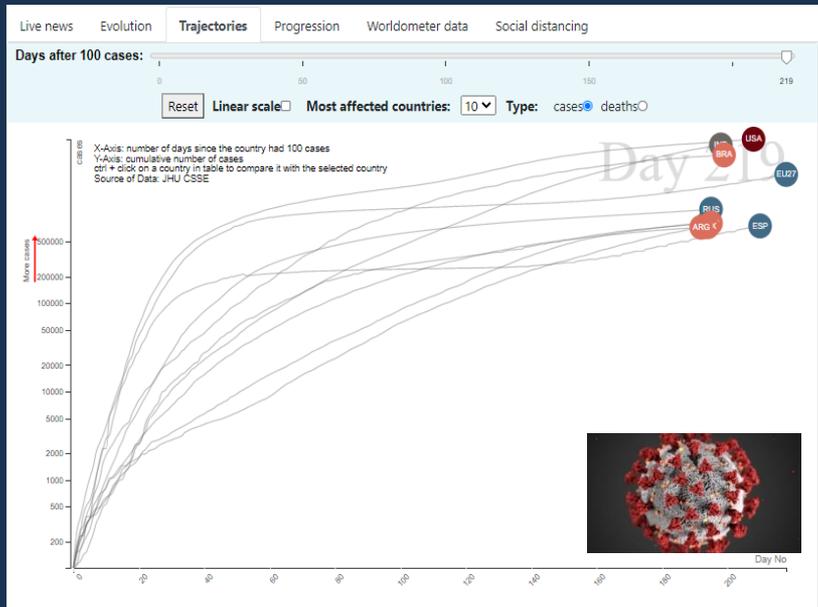
French



COVID-19 Resources

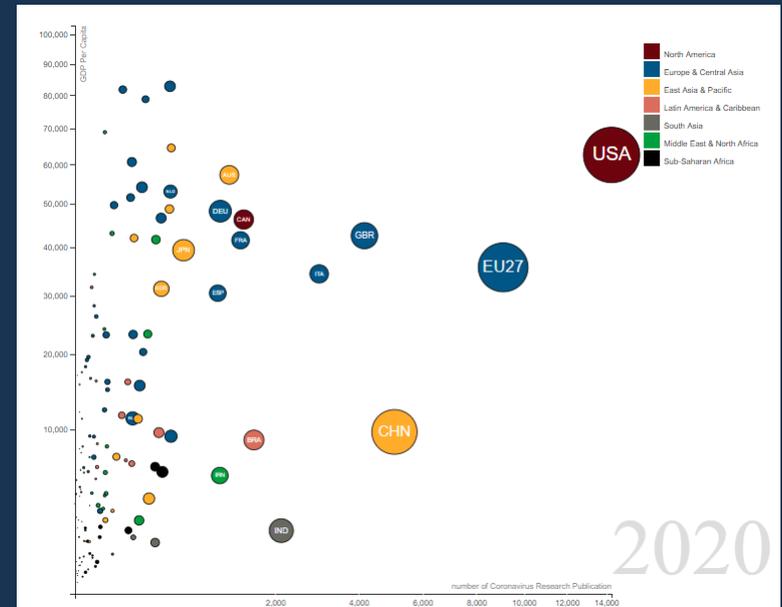
AI-powered COVID-19 tracker

Follow the latest COVID-19 developments in your country in real time



COVID-19 research

Live data on COVID-19-related publications (MAG)



AI-powered COVID-19 watch

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Live news Evolution Trajectories Progression Worldometer data Social distancing



Tue Sep 29 2020, 10:51:00 PM

To UN, Israeli Prime Minister says 'no doubt' more Arab countries will soon...

While telling the UN General Assembly on Tuesday there was "good news" to report about the "expanding circle of peace" between Israel and some Arab countries, Prime Minister Benjamin Netanyahu also accused Hezbollah militants of storing weapons in a residential area of Beirut. Opening his video address, pre-recorded due to the coronavirus pandemic, on a positive note, Mr. Netanyahu said that while the Middle



Washington Examiner

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Cerca de 111 mil alunos do ensino fundamental voltam às aulas em Manaus nesta quarta-feira (30)
Publisher: globo.com

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Cerca de 111 mil alunos do ensino fundamental voltam às aulas em Mana...

Estudantes dos anos iniciais da rede estadual de ensino deverão seguir protocolos de segurança contra Covid-19. Estado vive aumento de casos e interações por Covid-19. Alunos terão que passar por rotina de cuidados contra Covid-19. -- Foto: Michell Melo/Secom
Mais de 111 mil alunos do ensino fundamental da rede estadual de ensino voltam às aulas em Manaus, nesta quarta-feira (30). O governo informou que os

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Terra

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Curitiba divulga 14 novas mortes e mais 331...

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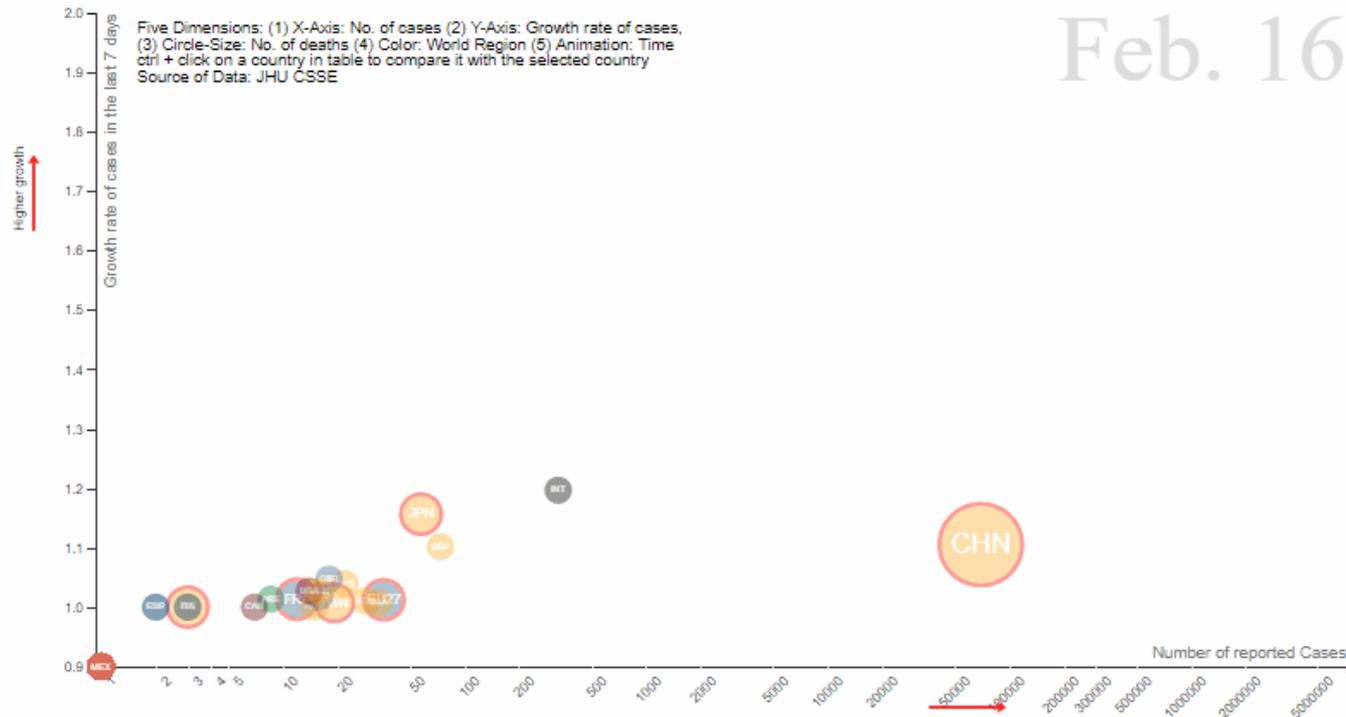
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Date:

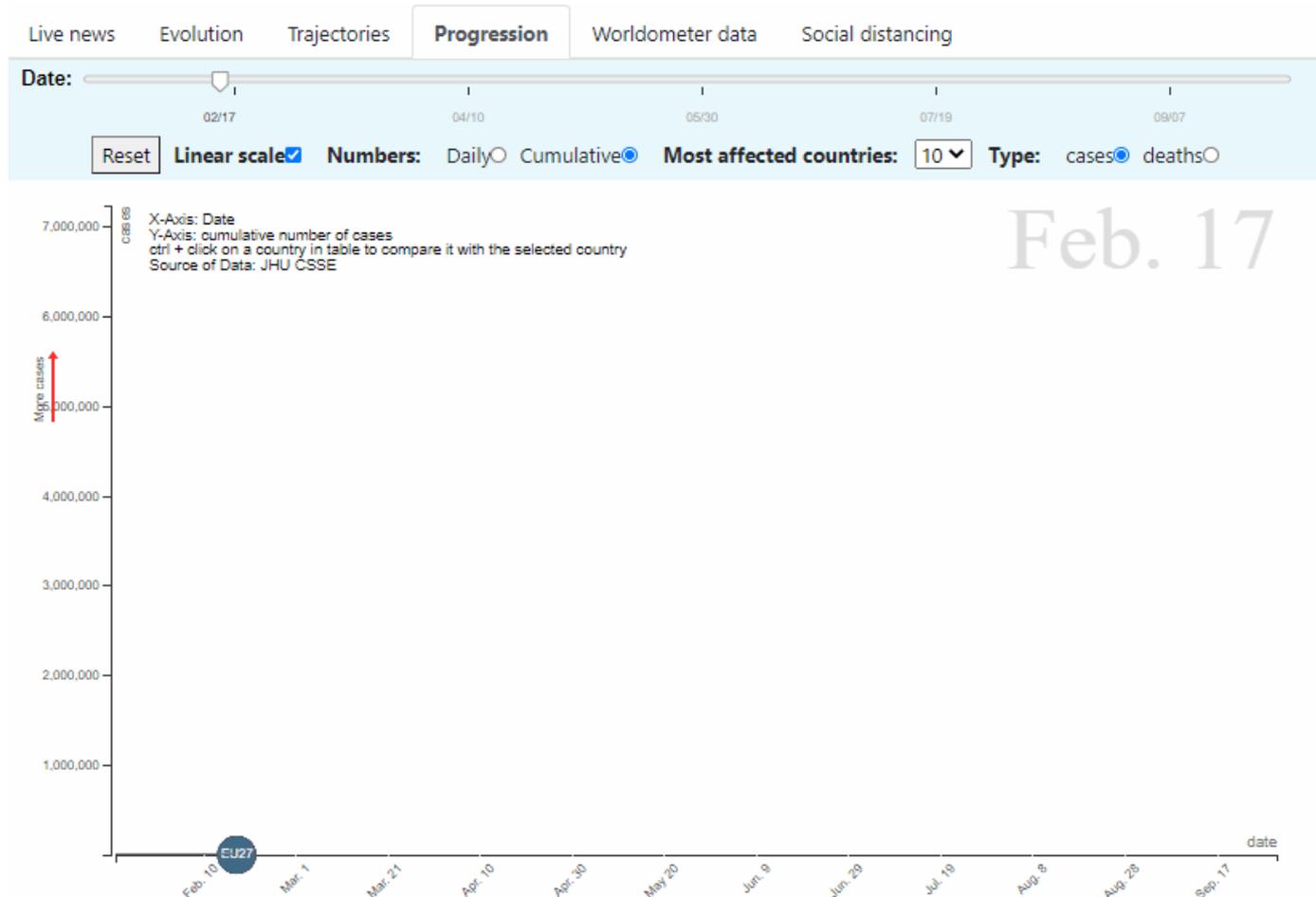
Show all countries
 Cases per capita
 Deaths per capita
 Linear scale

Switch deaths / cases



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This section leverages live data from partners to show timely trends about where, how and at what rate AI is being developed and used, and in which sectors.

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AI research

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COVID-19 research



Live data from partners

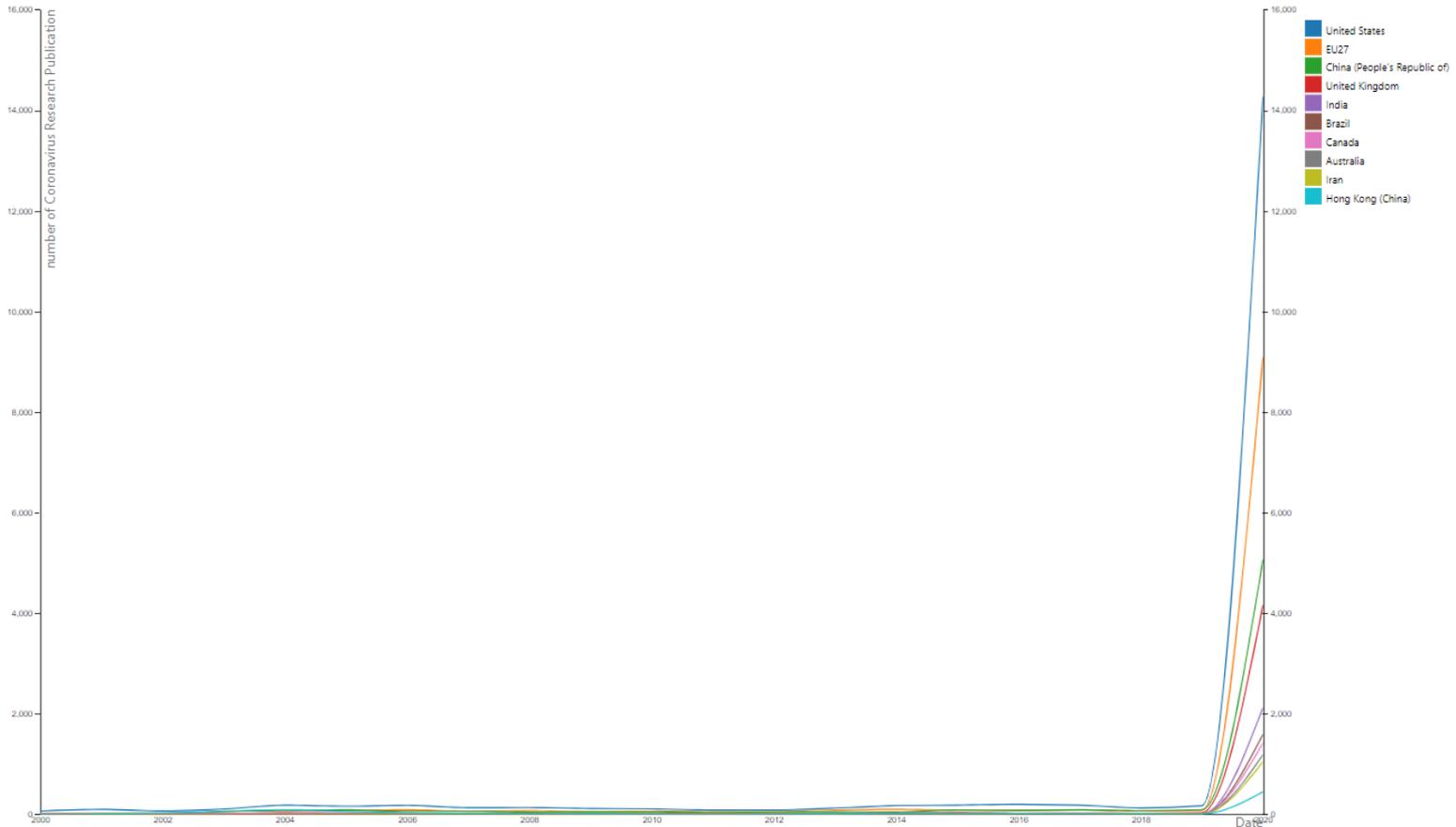
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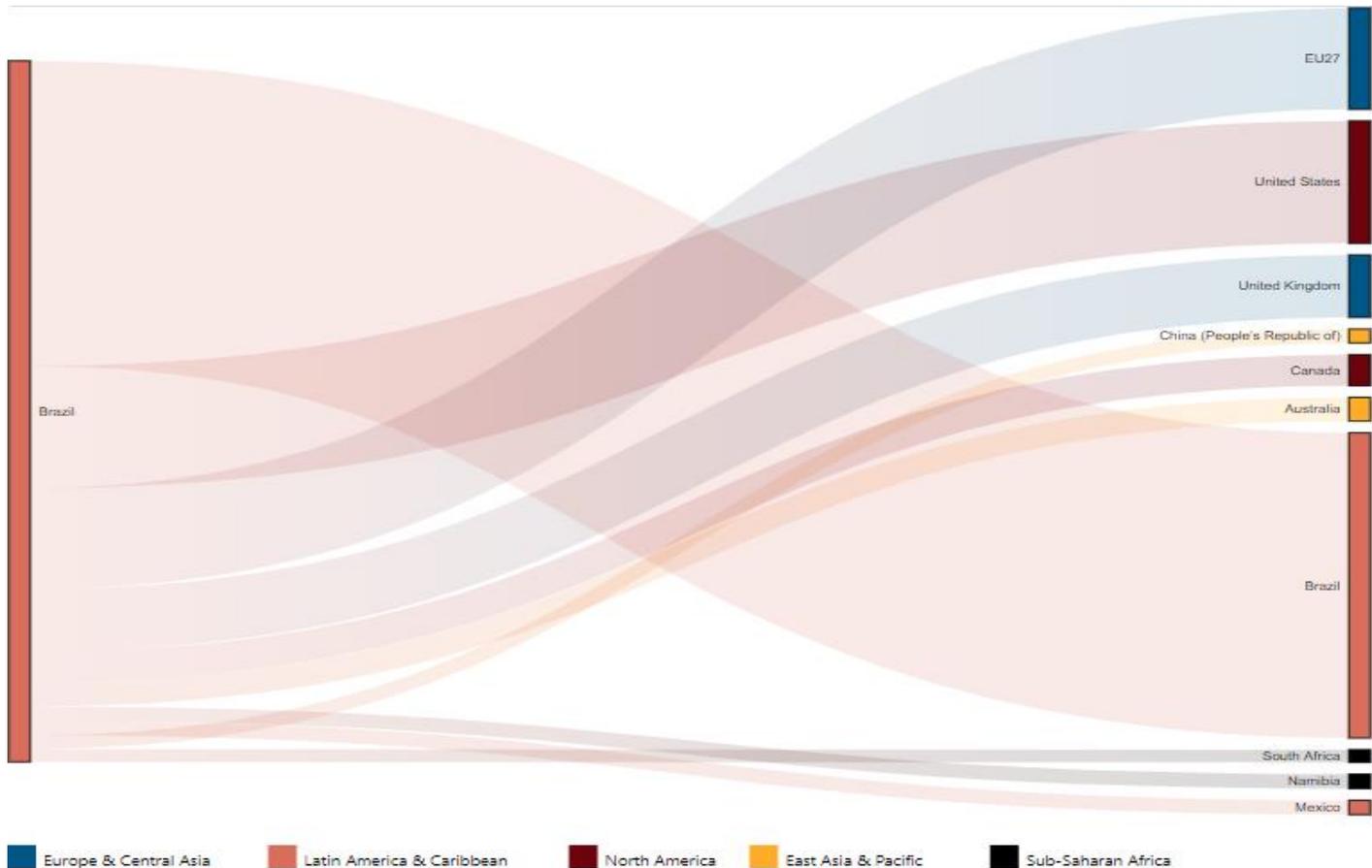
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Live data from partners

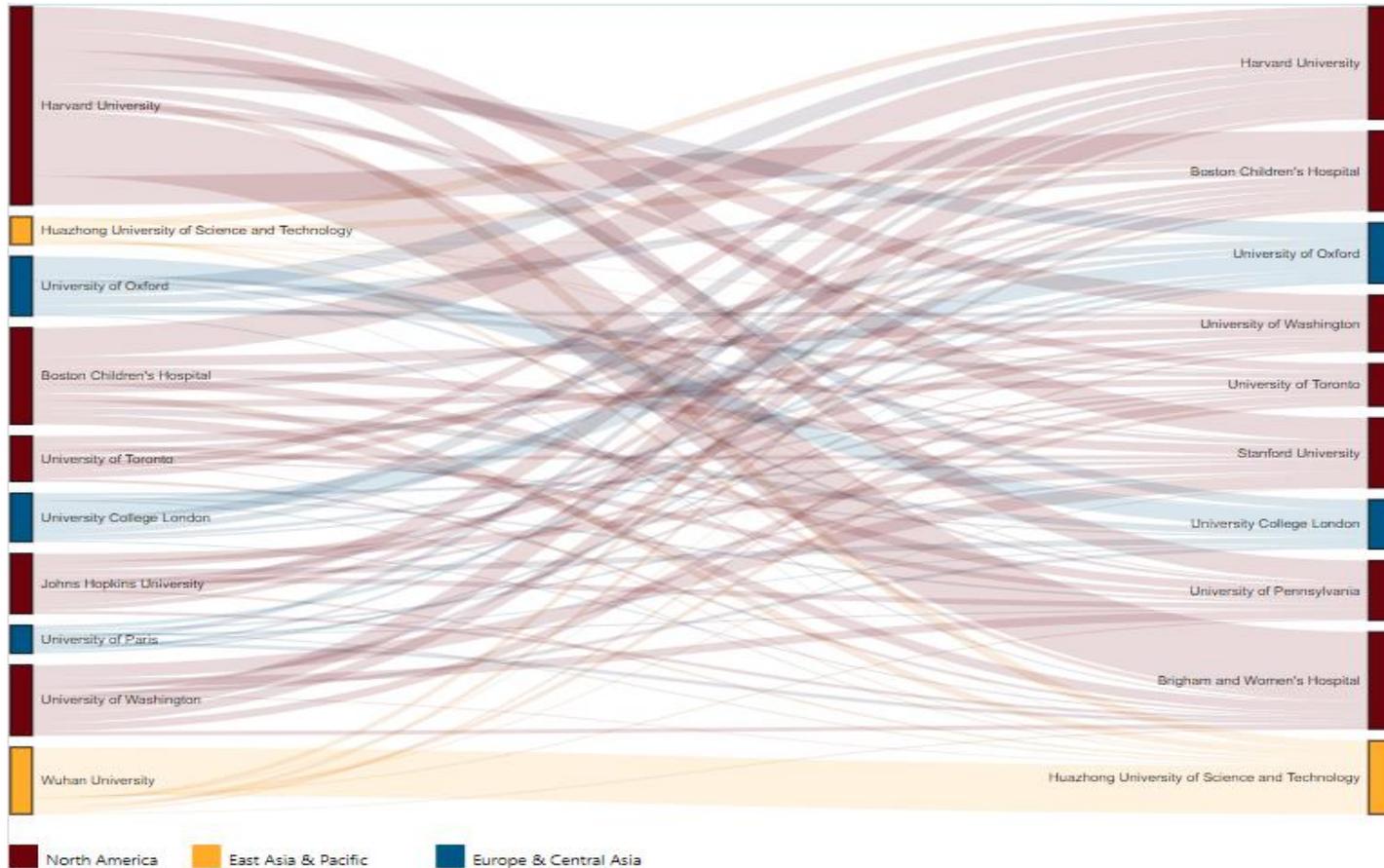
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AI news

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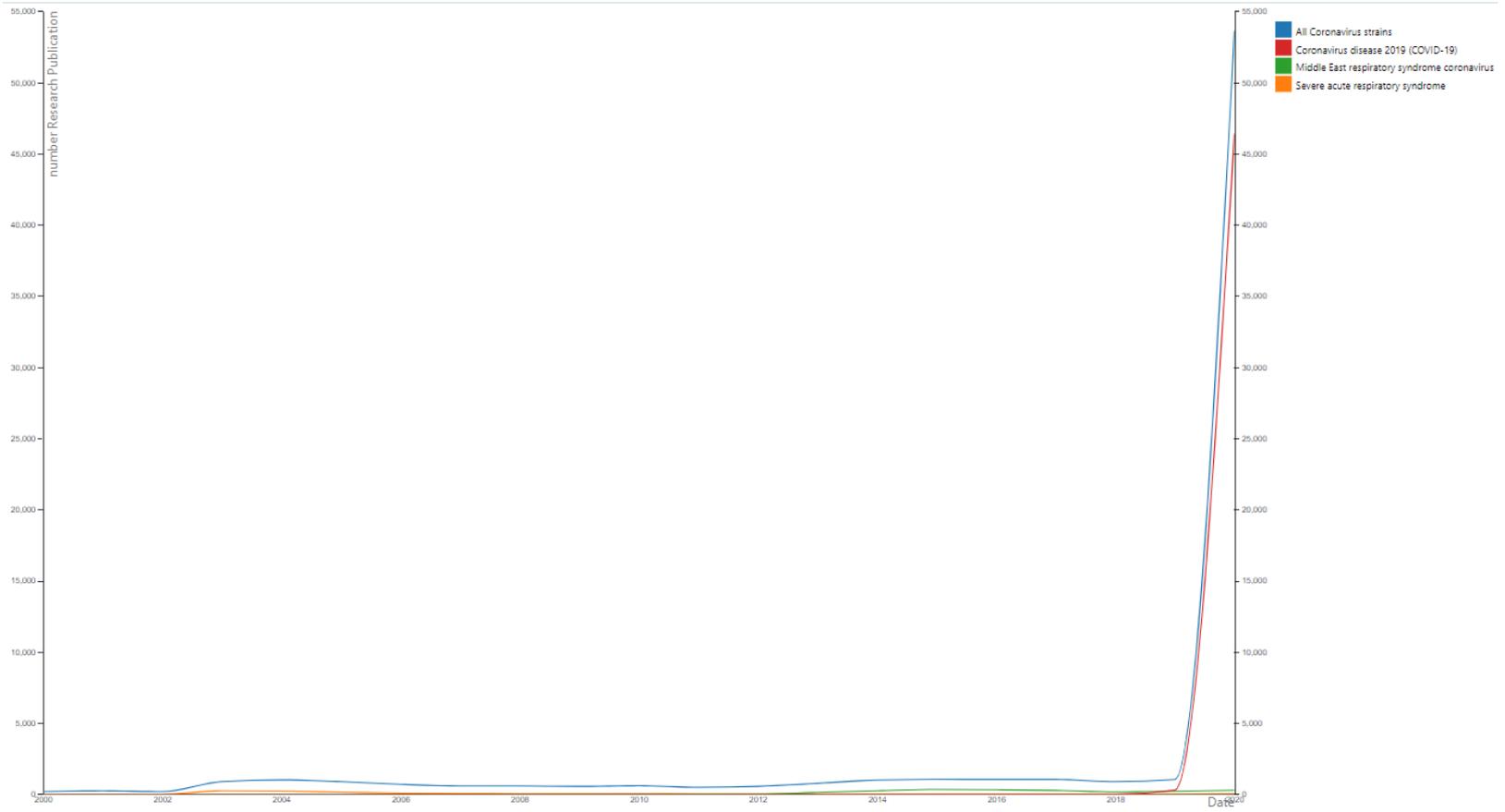
AI jobs and skills

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